

Canadian Lawyers Liability Assurance Society

2017/2018 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Cassels Brock & Blackwell LLP
2. Address of principal office: 2100 - 40 King Street West
Toronto, ON M5H 3C2
Phone: (416) 869-5300 Fax: (416) 360-8877
3. Address, phone and fax numbers of other office(s):
Please see attached
4. Management or service companies, date(s) established and services provided:
Please see attached
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

- n/a -

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	29.5 %	24.0 %
b) Criminal Law	0.0 %	0.0 %
c) Family Law	0.0 %	0.0 %
d) Intellectual Property	4.6 %	4.8 %
e) Labour Law	1.7 %	1.7 %
f) Litigation	12.5 %	14.0 %
g) Real Estate	7.5 %	9.1 %
h) Securities Law	17.8 %	23.5 %
i) Tax Matters	1.6 %	1.9 %
j) Wills, Estates, Trust	0.5 %	1.0 %
k) Other (please specify)	24.4 %	19.9 %
Financial Services		

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2016. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M ☐ \$20M xs \$160M
☐ \$30M xs \$160M ☐ \$40M xs \$160M
☐ \$50M xs \$160M ☒ \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2017 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____


(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Gordon Peter Goodman
(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: _____

March 17, 2017

Cassels Brock & Blackwell LLP

CLLAS 2017-18 Renewal

Question 3: Address, phone and fax numbers of other office(s):

Location	Address	Phone number	Fax number
Vancouver, BC, Canada	Suite 2200, HSBC Building 885 West Georgia Street Vancouver, BC V6C 3E8	604-691-6100	604-691-6120
Calgary, AB, Canada	Suite 1250, Millennium Tower 440-2nd Avenue SW Calgary, AB T2P 5E9	403-351-2920	403-648-1151

Question 4: Management or service companies, dates(s) established and services provided:

Name	Date Established	Services Provided
Scougall Services Limited Partnership	1998	Administrative and Staffing Services
CBB Services (BC) Limited	2012	Attorney for Service (Corporate Records)
Scougall Management (1987) Limited	1987	Agency services for Toronto and Vancouver office rent, leasehold improvements and related financing.

APPENDIX A
PREDECESSOR FIRMS

Name of Firm: Cassels Brock & Blackwell LLP

Cassels Brock & Blackwell

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2017

Name of Firm: Cassels Brock & Blackwell LLP

	CANADA				OUTSIDE OF CANADA ⁵	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.S. Other Locations
a) No. of Lawyers ^{1/}	7	7	137			
b) No. of Patent & Trademark Agents ^{2/}	0	0	0			
c) No. of Non-lawyer Consultants ^{3/}	1	0	0			
d) No. of Paralegals	5	1	22			
e) No. of Other Employees	17	6	215			
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{4/}	11	6	38			

^{1/} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{2/} These are not lawyers.

^{3/} Please complete Appendix C if individuals are reported under this category.

^{4/} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{5/} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under d) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.



As at March 13, 2017

List of Active

	Timekeeper	Last	First	Title	Bar Date	Location	LS#	Exclude?
197	05733	CHRISTIAN	JOHN T.C.	PARTNER	1/1/1992	VAN	ON: N/A; BC: 103142	
198	05765	PODOWSKI	DARRELL	PARTNER	1/1/1993	VAN	500305	
199	05809	REDFORD	DAVID	PARTNER	1/1/1998	VAN	502761	
200	05823	DVORAK	STEVEN	PARTNER	1/1/1991	VAN	501154	
201	05859	DURNO	JEFF	PARTNER	1/1/2000	VAN	34250P,501151	
202	05862	COLE	SAM	ASSOCIATE	10/1/2011	VAN	509998	
203	05884	NIED	MATTHEW	ASSOCIATE	3/1/2013	VAN	509191 LSA:20058	
204	05949	ISAAC	THOMAS	PARTNER	1/1/2001	VAN	BC:505263, AB: 14715, YK: 1087, NW: 1741; NU:2003023	
205	05958	CHOW	KEN	ASSOCIATE	9/1/2013	VAN	BC: 511799 ; UC: 62825V	
206	06017	WILLIAMS	H. LANCE	PARTNER	1/1/2008	VAN	14848(AB) ;4569(SK);508874(BC)	
207	06018	BUTTERY	MARY I.A.	PARTNER	1/1/1997	VAN	34599R (ON); 503081 (BC)	

Total Lawyers per above:				207
	VAN	CAL	TOR	Total
Lawyers per above:	18	13	176	207
Less: Erin Brady - departed			-1	-1
Less: PPCs	-11	-6	-38	-55
Total non-PPC Lawyers:	7	7	137	151



As at March 13, 2017

List of Active

	Timekeeper	Last	First	Title	Bar Date	Location	LS#	Exclude?
1	04542	LIVINGSTONE	LAURIE	ASSOCIATE	9/1/2002	CAL	46934Q; LSA:15926	
2	05932	OLIVER	JEFFREY	PARTNER	1/1/2011	CAL	16822	
3	05933	MARECHAL	DANIELLE	ASSOCIATE	3/1/2014	CAL	18183	
4	05935	TEMPLETON	KEITH	PARTNER	1/1/1988	CAL	7848	
5	05936	FOUNTAIN	SHERRI	PARTNER	1/1/1990	CAL	8339	
6	05941	CHOW	JACQUELINE	ASSOCIATE	1/1/2013	CAL	17630	
7	05969	HOCHER	JOSEF W.	PARTNER	1/1/1995	CAL	10027	
8	05970	REIN	KENTON	PARTNER	1/1/1998	CAL	47515G; LSA:10898	
9	05981	BLYTH	STUART	PARTNER	1/1/1983	CAL	6236	
10	05990	HOLOWACHUK	JASON M.	PARTNER	1/1/2000	CAL	11743	
11	05991	LOW	EVAN D.	ASSOCIATE	1/1/2002	CAL	12348	
12	06003	SOHI	NAMRITA K.	ASSOCIATE	1/1/2013	CAL	17465 (LSA)	
13	09085	NGUYEN	DESSA	ASSOCIATE	1/1/2002	CAL	19333	
14	00053	PINOS	J.D. TIMOTHY	PARTNER	1/1/1980	TOR	ON: 20027U; BC: 510832	
15	00064	PLENER	SHELDON	PARTNER	1/1/1978	TOR	179048	
16	00072	KLIGMAN	ROBERT D.	PARTNER	1/1/1980	TOR	19947K	
17	00079	PAGE	S. JOHN	PARTNER	1/1/1980	TOR	19650Q	
18	00087	NORTON	CHRISTOPHER B.	PARTNER	1/1/1983	TOR	22845E	
19	00095	SILVER	LORNE S.	PARTNER	1/1/1984	TOR	24238L	
20	00107	CLARK	W. BRUCE	COUNSEL	1/1/1981	TOR	20880B	
21	00119	BURDEN	WILLIAM J.	PARTNER	1/1/1976	TOR	15550F	
22	00130	SHAW	GEOFFREY B.	PARTNER	1/1/1986	TOR	26367J	
23	00143	ZAKAIB	GLENN M.	PARTNER	1/1/1983	TOR	23320F	
24	00162	REEVE	J. BRIAN	PARTNER	1/1/1982	TOR	21828Q	
25	00181	MCGOWAN	JOHN P.	PARTNER	1/1/1986	TOR	26754K	
26	00186	WATTERWORTH	ANN L.	PARTNER	1/1/1989	TOR	29730C	
27	00197	REDMOND	DAVID A.	PARTNER	1/1/1987	TOR	27474Q	
28	00211	MANZER	ALISON R.	PARTNER	1/1/1979	TOR	18514A	
29	00213	GOTLIEB	MAXWELL	PARTNER	1/1/1977	TOR	16808H	
30	00216	GOODMAN	GORDON P.	PARTNER	1/1/1991	TOR	32266J	
31	00221	PETERSON	DAVID R.	COUNSEL	1/1/1969	TOR	116600	
32	00236	COHEN	ROBERT B.	PARTNER	1/1/1991	TOR	ON: 32187D; BC: 33541W	
33	00238	WARD	DAVID S.	PARTNER	1/1/1992	TOR	33541W	
34	00254	YOUNG	MARK I.	PARTNER	1/1/1980	TOR	20135M	
35	00255	MERCER	CATHY L.	PARTNER	1/1/1986	TOR	25728B	
36	00257	STEIN	PAUL M.	PARTNER	1/1/1983	TOR	23256T	
37	00258	CRAIG	JOHN H.	COUNSEL	1/1/1973	TOR	13475H	
38	00259	ABRAMSON	LANNING J.	PARTNER	1/1/1977	TOR	16589I	
39	00264	BENNETT	MARK T.	PARTNER	1/1/1995	TOR	36620P	
40	00265	VETTESE	JOHN P.	PARTNER	1/1/1995	TOR	36951J	
41	00283	GLICKMAN	LEONARD	PARTNER	1/1/1985	TOR	25356I	
42	00284	DOMINIQUE	BRIAN P.	PARTNER	1/1/1989	TOR	29839C	
43	00291	HAMILTON	ARTHUR L.	PARTNER	1/1/1997	TOR	39474W	
44	00302	BIRCH	JOHN N.	PARTNER	1/1/1997	TOR	38968U	
45	00308	WEINBERG	LAWRENCE M.	PARTNER	1/1/1989	TOR	30156D	
46	00309	REBACK	ANDREW	PARTNER	1/1/1994	TOR	35302P	
47	00317	ILOPOULOS	ALEXANDRA	PARTNER	1/1/1998	TOR	40901D	
48	00321	STEINHART	GARY	PARTNER	1/1/1981	TOR	21142G	
49	00745	BULLEN	JASON K.S.	PARTNER	1/1/1999	TOR	42203S	
50	00783	FITZGERALD	ANDREA	PARTNER	1/1/2000	TOR	42973V	
51	04339	GLATTER	DEBORAH	ADMINISTRATION		TOR	22032K	
52	04506	MINGAY	CAMERON A.	PARTNER	1/1/1982	TOR	21789J	
53	04507	ROY	JEFFREY P.	PARTNER	1/1/1986	TOR	25675Q; LSA:5401	
54	04515	ACCURSI	CHAD	PARTNER	1/1/2001	TOR	44483S	
55	04531	BOIVIN	ANDRE	PARTNER	1/1/1999	TOR	41824A	
56	04533	KARN	BERNICE	PARTNER	1/1/1986	TOR	25846K	
57	04536	BELLISSIMO	JOSEPH J.	PARTNER	9/1/2002	TOR	46555R; LSA:20211	
58	04537	BELLISSIMO	EVA	PARTNER	9/1/2002	TOR	47066W	
59	04551	CHUMMAR	NOBLE	PARTNER	2/1/2002	TOR	45616Q	
60	04557	LEISK	SIGNE	PARTNER	2/1/2002	TOR	45455Q	
61	04591	KNOL	LINDA	PARTNER	1/1/2003	TOR	47837C	
62	04600	LAROSE	EMILY	PARTNER	9/1/2002	TOR	46766K	
63	04605	SELZNICK	STEPHEN	PARTNER	1/1/1979	TOR	18593C	
64	04613	MUCHNIK	PAUL	PARTNER	1/1/1988	TOR	28583P	
65	04641	GRAY	TILLY	ADMINISTRATION	1/1/2004	TOR	49559L	
66	04642	HENEIN	PETER	PARTNER	1/1/2004	TOR	49330K	
67	04643	KING	JAY	PARTNER	1/1/2004	TOR	49821I	
68	04649	CHISICK	CASEY M.	PARTNER	1/1/1999	TOR	46572R	

As at March 13, 2017

List of Active

	Timekeeper	Last	First	Title	Bar Date	Location	LS#	Exclude?
69	04698	LAPALME	LAURIE	PARTNER	1/1/2000	TOR	43511B	
70	04720	KAPSALES	TOM	PARTNER	1/1/1998	TOR	40502Q	
71	04736	MERCIER	MARC	PARTNER	1/1/1993	TOR	35009R	
72	04737	NEWMAN	CHARLES	PARTNER	2/1/1997	TOR	39215F	
73	04757	ARBUCK	JASON	PARTNER	1/1/1999	TOR	42178M	
74	04781	HALL	TERENCE	PARTNER	1/1/1983	TOR	23072U	
75	04783	BRADY	ERIN	CONTRACT	1/1/2006	TOR	53042N	departed
76	04795	WOOLFORD	LUKE	PARTNER	1/1/2006	TOR	52723J	
77	04883	FIRSTEN	JAMIE	PARTNER	1/1/2007	TOR	54259Q	
78	04924	CLEMENTS	LINDSAY	PARTNER	2/1/2002	TOR	45362J	
79	04938	HERSH	CHRIS	PARTNER	1/1/2000	TOR	43080N	
80	04968	CHOI	NANCY	PARTNER	1/1/2005	TOR	50708C	
81	04974	BLACKBURN	JED	PARTNER	1/1/2008	TOR	55767C	
82	04983	ROBINSON	FRANK	PARTNER	1/1/2008	TOR	55957L	
83	04999	FLEISHER	JONATHAN	PARTNER	1/1/1989	TOR	29476H	
84	05027	GROUND	COLIN	PARTNER	1/1/2001	TOR	44563U	
85	05071	GOLDMAN	JAY	PARTNER	1/1/1990	TOR	30670L	
86	05073	CAMPBELL	JENNIFER	PARTNER	1/1/2000	TOR	42811G	
87	05078	MANIACI	SEAN	PARTNER	1/1/2001	TOR	44616F	
88	05080	SNIDER	KENNETH	PARTNER	1/1/1983	TOR	22897M	
89	05082	HOGAN	GREG	PARTNER	1/1/1999	TOR	42258O	
90	05084	LYSY	ROBERT	PARTNER	2/1/2002	TOR	45862F	
91	05086	ABUDULAI	SUHUYINI	PARTNER	1/1/2009	TOR	57316G	
92	05094	LUNGU	MICHAEL	PARTNER	1/1/2009	TOR	57488P	
93	05133	PIZALE	ALEXANDER	PARTNER	1/1/2007	TOR	53817A	
94	05148	HARDY	SHANE	PARTNER	2/1/2002	TOR	45423T	
95	05162	WINCH	MELISSA	ASSOCIATE	1/1/2009	TOR	57297K	
96	05203	BECK	JOAN	PARTNER	1/1/1996	TOR	36993A	
97	05234	HOLLAND	STEFANIE	ASSOCIATE	1/1/2010	TOR	58204S	
98	05239	GARDOS	DAVID	ASSOCIATE	1/1/2010	TOR	58577D	
99	05244	MAYZEL	ERIC	ASSOCIATE	1/1/2010	TOR	58663P	
100	05280	JESSOME	LAURIE	PARTNER	1/1/2003	TOR	48080W	
101	05285	FRANKEL	TED	PARTNER	1/1/2004	TOR	49784Q	
102	05300	VALO	REBECCA	ASSOCIATE	9/1/2011	TOR	60458J	
103	05305	PENDRITH	COLIN	ASSOCIATE	9/1/2011	TOR	59912H	
104	05307	WASYLYK	JENNIFER	ASSOCIATE	9/1/2011	TOR	60619J; LSA:19844; LSBC:513402	
105	05339	GRIEVE	DEBORAH S.	PARTNER	1/1/1986	TOR	26202G	
106	05380	HORKINS	CHRISTOPHER	ASSOCIATE	1/1/2012	TOR	61880R	
107	05385	MARTIN	JEREMY	ASSOCIATE	1/1/2012	TOR	61610K	
108	05447	RONDE	DEREK	PARTNER	1/1/2002	TOR	46978W	
109	05448	ELLIS	LARRY	PARTNER	1/1/2004	TOR	49313K	
110	05461	MOHAJER	ARDY	ASSOCIATE	9/1/2013	TOR	64160R	
111	05465	POTTER	CARLA	ASSOCIATE	9/1/2013	TOR	64743D	
112	05466	RUSSELL	CAITLIN	ASSOCIATE	9/1/2013	TOR	63847M	
113	05468	COHEN	CARLY	ASSOCIATE	9/1/2013	TOR	64355G	
114	05470	MCELRAVY	JOEL	ASSOCIATE	9/1/2013	TOR	64513S	
115	05471	SASSI	MONIQUE	ASSOCIATE	9/1/2013	TOR	63638L	
116	05477	BOWIE	ALEXIS	ASSOCIATE	9/1/2013	TOR	63569W	
117	05483	PUTERMAN	JARED	ASSOCIATE	9/1/2014	TOR	65683A	
118	05484	VOUDOURIS	STEPHANIE	ASSOCIATE	9/1/2014	TOR	65752M	
119	05486	SELBY	CHRISTOPHER	ASSOCIATE	9/1/2014	TOR	65702T	
120	05506	CRADDOCK	ERIN	ASSOCIATE	1/1/2009	TOR	62828J	
121	05509	FREEMAN	JONATHAN	PARTNER	1/1/2005	TOR	51289L	
122	05512	LEUNG LIM	ADRIA	ASSOCIATE	1/1/2010	TOR	58345D	
123	05521	JIMENO	NATASHA	ASSOCIATE	1/1/2011	TOR	60137J	
124	05527	LITCHEN	JAMIE	ASSOCIATE	9/1/2013	TOR	64915Q	
125	05529	MIKELBERG	JEFFREY	ASSOCIATE	9/1/2013	TOR	63778A	
126	05530	SOMANI	ALYKHAN	ASSOCIATE	9/1/2013	TOR	64239M	
127	05531	OMOKHODION	PATIENCE	PARTNER	1/1/2014	TOR	65231J	
128	05536	SULLIVAN	PETER	PARTNER	1/1/2005	TOR	61177T	
129	05559	ALTER	MATTHEW	PARTNER	1/1/1987	TOR	A026798Q	
130	05564	HENDERSON	STEPHEN	ASSOCIATE	9/1/2014	TOR	65534N	
131	05566	LEE	JESSICA	ASSOCIATE	9/1/2014	TOR	66353N	
132	05568	LOEWITH	LEONARD	ASSOCIATE	9/1/2014	TOR	65606N	
133	05571	SIMPSON	KYLE	ASSOCIATE	9/1/2014	TOR	65384D	
134	05575	WOODMAN	BRYAN	ASSOCIATE	9/1/2014	TOR	66146E	
135	05577	CHIU	BELINDA	ASSOCIATE	6/23/2015	TOR	67704K	
136	05595	KENNEDY	STEVEN	ASSOCIATE	1/1/2009	TOR	56576i	



As at March 13, 2017

List of Active

	Timekeeper	Last	First	Title	Bar Date	Location	LS#	Exclude?
137	05604	KHULLAR	SHAUN	PARTNER	1/1/2009	TOR	57154K	
	05611	BERMAN	WENDY	PARTNER	1/1/1992	TOR	ON: 32748J; BC: 512569	
138								
	05612	JACKSON	LARA	PARTNER	1/1/1999	TOR	41858M; LSBC:512546	
139								
140	05626	UUKKIVI	RAIVO	PARTNER	1/1/2004	TOR	49932L	
	05645	BARZILAI	ZOHAR	ASSOCIATE	1/1/2012	TOR	ON: 67264B; BC: 510026	
141								
142	05649	DELUCA	FRANK	PARTNER	1/1/1998	TOR	40416E	
143	05663	TAYLOR	KRISTIN	PARTNER	1/1/1995	TOR	37339O	
144	05666	ALVARO	MICHAEL A.	ASSOCIATE	6/23/2015	TOR	67655J	
145	05668	BYERS	KATE	ASSOCIATE	6/23/2015	TOR	67695I	
146	05671	FENDER	HILARY	ASSOCIATE	6/26/2015	TOR	68406U	
147	05672	FISHMAN	ROEY	ASSOCIATE	6/24/2015	TOR	68081J	
148	05674	LIPTON	JESSICA	ASSOCIATE	6/24/2015	TOR	68163D	
149	05676	SHERMAN	JONATHAN	ASSOCIATE	9/8/2015	TOR	68574W	
150	05691	SALEM	ANDREW	PARTNER	1/1/1998	TOR	41014R	
151	05694	CHEN	XI	ASSOCIATE	9/1/2016	TOR	69939U	
152	05695	KELMAN	DAVID	ASSOCIATE	9/1/2016	TOR	70336A	
153	05696	RICHARDS	JACQUELINE	ASSOCIATE	9/1/2016	TOR	70728E	
154	05727	HAYMAN	ALISON	PARTNER	1/1/2003	TOR	48716Q	
155	05735	ROBINSON	TODD	PARTNER	1/1/2008	TOR	55958H	
156	05746	PILKINGTON	ADRIANNA	ASSOCIATE	1/1/2010	TOR	59037T	
157	05758	ST. CYR	MARK	ASSOCIATE	1/1/2012	TOR	62390D	
158	05759	KUKULOWICZ	SHAYNE	PARTNER	1/1/1990	TOR	30729S; LSA:19716	
159	05760	WUNDER	MICHAEL	PARTNER	1/1/1990	TOR	31351O	
160	05761	JACOBS	RYAN	PARTNER	1/1/2011	TOR	59510J	
161	05762	DIETRICH	JANE	PARTNER	1/1/2004	TOR	49302U	
162	05763	LEVINE	NATALIE	PARTNER	1/1/2013	TOR	64908K	
163	05773	BLUMBERG	ROBYN	ASSOCIATE	9/1/2016	TOR	70230L	
164	05774	FINN	BRITTANY	ASSOCIATE	9/1/2016	TOR	70880N	
165	05775	GOLD	MATTHEW	ASSOCIATE	9/1/2016	TOR	69982S	
166	05776	GOODIS	BENJAMIN	ASSOCIATE	9/1/2016	TOR	70303H	
167	05777	GROSSMAN	LAUREN	ASSOCIATE	9/1/2016	TOR	70597J	
168	05778	LESZCZ	NOAH	ASSOCIATE	9/1/2016	TOR	70041V	
169	05779	LYLE	JAMES	ASSOCIATE	9/1/2016	TOR	70051P	
170	05780	MAHONEY	MICHAEL	ASSOCIATE	9/1/2016	TOR	70968G	
171	05781	MURPHY	ALEXANDRA	ASSOCIATE	9/1/2016	TOR	71003C	
172	05783	SEBANC	STEFANIE	ASSOCIATE	9/1/2016	TOR	71053S	
173	05784	TABI	KWAKU	ASSOCIATE	9/1/2016	TOR	70468K	
174	05857	HYLTON	MARLON	PARTNER	5/7/2010	TOR	58608I	
175	05885	MCGURRAN	SEAN	ASSOCIATE	6/1/2012	TOR	62587H	
176	05886	LEO	SHANNON	ADMINISTRATION	1/1/2004	TOR	49595D	
177	05912	GIBSON	CHRISTIE E.	ASSOCIATE	1/1/2014	TOR	65514C	
178	05918	NICHOLAS	A. CHANDIMAL	ASSOCIATE	1/1/2006	TOR	52626H	
179	05923	MORAND	JAMES	PARTNER	1/1/1989	TOR	29609R	
180	05945	FLETCHER	JORDAN R.	ASSOCIATE	1/1/2010	TOR	59295R	
181	05952	LAI	KRISTEN	ASSOCIATE	1/1/2015	TOR	68146D	
182	05957	LI	TERA	ASSOCIATE	9/1/2013	TOR	63748S	
183	05965	GEARY	NICOLA	PARTNER	1/1/2004	TOR	48930I	
184	05971	JASON	JOHN	COUNSEL	1/1/1988	TOR	28484V	
185	05976	PICONE	JOHN M.	ASSOCIATE	1/1/2010	TOR	58406N	
186	05977	HINMAN	PAMELA J.	ASSOCIATE	1/1/2015	TOR	68438R	
187	05984	TEASDALE	STEPHANE	PARTNER	1/1/1988	TOR	187639-2; 71715K	
188	05993	COGGIN	MARISA	ASSOCIATE	9/1/2014	TOR	65838N	
189	06002	PHILLIPS	JACKSON	ASSOCIATE	9/1/2014	TOR	66430E	
	00784	TRAUB	JENNIFER	PARTNER	1/1/2000	VAN	ON: 43377H; BC: 510769	
190								
	04524	BUDD	DAVID	PARTNER	1/1/2000	VAN	ON: 43027V; BC: 510774	
191								
	05241	HANSEN	JENNIFER	PARTNER	1/1/2010	VAN	ON: 58591P; BC: 510785	
192								
193	05599	CHAMBERS	GORDON R.	PARTNER	1/1/1987	VAN	ON: N/A; BC: 8606	
	05624	GILL	DEEPAK	PARTNER	1/1/2006	VAN	ON: N/A; BC: 506402	
194								
195	05641	PREST	SAMANTHA	ASSOCIATE	9/1/2014	VAN	51136S	
	05710	POIRIER	JENNIFER	ASSOCIATE	1/1/2011	VAN	ON: N/A; BC: 508605	
196								

	Jeff W. Durno	J.W. Durno Mangement Law Corporation	48030	
1-Jan-16				
43	Laurie LaPalme	Laurie C. LaPalme Professional Corporation	48659	TOR
44	Raivo Uukkivi	Raivo Uukkivi Professional Corporation	48660	TOR
45	Chad Accursi	Chad Accursi Professional Corporation	48661	TOR
46	Arthur Hamilton	Arthur Hamilton Professional Corporation	49572	TOR
47	Deepak Gill	Deepak S. Gill Law Corporation	49571	VAN
48	James Morand	James Gerard Morand Professional Corporation	49573	TOR
49	Sherri Fountain	Sherri S. Fountain Professional Corporation	49574	CAL
50	Keith Templeton	Keith Templeton Professional Corporation	49550	CAL
51	Josef Hoher	Josef W. Hoher Professional Corporation	49575	CAL
52	Kenton Rein	Kenton Rein Professional Corporation	49576	CAL
53	Jason Holowachuk	J.M. Holowachuk Professional Corporation		CAL
54	Stuart Blyth	Stuart F. Blyth Professional Corporation		CAL
2017				
55	Jennifer Hansen	Hansen Law Corporation	50033	VAN

Departed?	(Multiple Items)
Not started yet	(Multiple Items)

Count of Location	
Location	Total
(blank)	
TOR	38
VAN	11
CAL	6
Grand Total	55

	Name	Professional Corporation	Client #	Location
1-Jan-13				
1	Lanning Abramson	LANNING J. ABRAMSON PROFESSIONAL CORPORATION	45812	TOR
2	Mark Bennett	MARK T. BENNETT PROFESSIONAL CORPORATION	45814	TOR
3	Bill Burden	WILLIAM BURDEN PROFESSIONAL CORPORATION	45818	TOR
4	Bruce Clark	W. BRUCE CLARK PROFESSIONAL CORPORATION	45817	TOR
6	Sheldon Plener	SHELDON PLENER PROFESSIONAL CORPORATION	45827	TOR
7	Ken Snider	KEN SNIDER PROFESSIONAL CORPORATION	45811	TOR
8	Paul Stein	PAUL STEIN PROFESSIONAL CORPORATION	45815	TOR
9	David Budd	DAVID R. BUDD LAW CORPORATION	45829	VAN
10	Jennifer Traub	JENNIFER J. TRAUB LAW CORPORATION	45820	VAN
11	Gordon Chambers	GORDON R. CHAMBERS LAW CORPORATION	45828	VAN
31-Mar-13				
12	Casey M. Chisick	Casey M. Chisick Professional Corporation	46141	TOR
13	Leonard Glickman	Leonard Glickman Professional Corporation	46140	TOR
14	Bernice M. Karn	Bernice M. Karn Professional Corporation	46118	TOR
15	Gary Steinhart	Gary Steinhart Professional Corporation	46114	TOR
16	Larry Weinberg	Lawrence M. Weinberg Professional Corporation	46139	TOR
17	Jay Goldman	Jason I. Goldman Professional Corporation	46133	TOR
18	Maxwell Gotlieb	Maxwell Gotlieb Professional Corporation	46134	TOR
19	Marc Mercier	Marc Mercier Professional Corporation	46149	TOR
20	John Vettese	John P. Vettese Professional Corporation	46148	TOR
1-Jan-14				
21	Matthew Alter	Matthew R. Alter Professional Corporation	46775	TOR
22	Jason Arbuck	S. Jason Arbuck Professional Corporation	46743	TOR
23	Eva Bellissimo	Eva Bellissimo Professional Corporation	46776	TOR
24	Joe Bellissimo	Joseph J Bellissimo Professional Corporation	46779	TOR
25	John Birch	John N. Birch Professional Corporation	46774	TOR
26	John T.C. Christian	JOHN T.C. CHRISTIAN LAW CORPORATION	46448	VAN
27	Jonathan Fleisher	Jonathan E. Fleisher Professional Corporation	46734	TOR
28	Gordon Goodman	Gordon P. Goodman Professional Corporation	46732	TOR
29	Laurie Jessome	Laurie B. Jessome Professional Corporation	46752	TOR
30	Brian Reeve	J. Brian Reeve Professional Corporation	46773	TOR
31	Geoff Shaw	Geoffrey B. Shaw Professional Corporation	46697	TOR
32	Lorne Silver	Lorne S Silver Professional Corporation	46723	TOR
33	David Ward	David S. Ward Professional Corporation	46790	TOR
34	Ann Watterworth	Ann L. Watterworth Professional Corporation	46751	TOR
35	Mark Young	Mark I. Young Professional Corporation	46733	TOR
36	Darrell Podowski	DARRELL W PODOWSKI LAW CORPORATION	47201	VAN
37	Steven Dvorak	S. D. Dvorak Personal Law Corporation	47718	VAN
		Steven D. Dvorak Law Corporation	47719	VAN
1-Jan-15				
38	Cam Mingay	Cameron A. Mingay Professional Corporation	47581	TOR
39	Alison Manzer	Alison R. Manzer Professional Corporation	47631	TOR
40	Jane Dietrich	Jane O. Dietrich Professional Corporation	47620	TOR
41	David Redford	David Redford Law Corporation	47602	VAN
42	Jeff W. Durno	Jeff W. Durno Law Corporation	48029	VAN

APPENDIX C
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2017
(Excluding Patent & Trademark Agents)

Name of Firm: Cassels Brock & Blackwell LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
Strategic Business Advice	1	BC	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	0.00%
			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:		Type of Exposure:	
Insurance Carrier:		Insurance Carrier:	
Policy Number:		Policy Number:	
Period of Insurance:		Period of Insurance:	
Retroactive Date:		Retroactive Date:	
Limits:	\$ _____ per claim, \$ _____ aggregate	Limits:	\$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Cassels Brock & Blackwell LLP

- The Named Insured under the CLLAS policies is Cassels Brock & Blackwell LLP.
- The Firm has offices in Toronto, Vancouver and Calgary.
- The Firm was a member of an established national affiliation of independent law firms comprised of Pouliot Mercure of Montreal and Alexander, Holburn, Beaudin & Lang of Vancouver. The Firm also had an international affiliation with Noriega y Escobedo in Mexico City. The affiliation was known as Cassels Pouliot Alexander Noriega.
- From November 1990 to October 2000, Douglas Symes & Brissenden was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas Noriega (until joined by MacKimmie Matthews in June 1996). As of October 31, 2000, Douglas Symes & Brissenden ceased to practise law and the affiliation changed to Cassels Pouliot Noriega.
- From June 1996 to February 1999, MacKimmie Matthews was part of the affiliation during which time the affiliation was known as Cassels Pouliot Douglas MacKimmie Noriega. As of February 28, 1999, MacKimmie Matthews ceased to practise law and the affiliation changed to Cassels Pouliot Douglas Noriega.
- In April 2001, Alexander, Holburn, Beaudin & Lang joined the affiliation and the affiliation changed its name to Cassels Pouliot Alexander Noriega.
- In September 2004, Cassels Brock & Blackwell LLP ended the above affiliations and is no longer associated in any way with the above law firms.
- In February 2004, Cassels Brock & Blackwell LLP became a member of the World Services Group, a global non-profit membership-by-invitation-only association of business service providers, in order to more effectively nationally and internationally market its legal services to persons requiring legal services in Ontario, create new business prospects and provide superior client service. The Firm withdrew from this association on December 6, 2011.
- There is an endorsement on the policy to cover possible claims relating to the periods during which the following affiliations were in operation: a) Cassels Pouliot Douglas MacKimmie Noriega; b) Cassels Pouliot Douglas Noriega; c) Cassels Pouliot Noriega; and d) Cassels Pouliot Alexander Noriega. The endorsement also covers Cassels Brock & Blackwell LLP as a member of the World Services Group. However, as the affiliation with the World Services Group was not reported to CLLAS until April 11, 2005, claims known or should have been known prior to this date are excluded.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Cassels Brock & Blackwell LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Ryan Jacobs	ON	up to 10%
Natalie Levine	ON	up to 10%
Nicola Geary	ON	up to 5%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2016

Name of Firm: Cassels Brock & Blackwell LLP

Virani, Asif

From: Birch, John
Sent: Monday, March 06, 2017 1:18 PM
To: Virani, Asif; Ronde, Derek
Cc: Goodman, Gordon P.; Southern, Patricia
Subject: RE: CLLAS Renewal - Borderau

There are a couple of claims to be added:

1. CLLAS file no. 2017-106 (Ted Frankel re Gemcorp International)
2. There is a new claim about to be reported to LawPro and CLLAS relating to Frank Robinson of Cassels Brock (the claimant is Mark's Work Wearhouse Ltd.)



John Birch

Direct: +1 416 860 5225 • Fax: +1 416 640 3057 • jbirch@casselsbrock.com
2100 Scotia Plaza, 40 King Street West, Toronto, Ontario, M5H 3C2
www.casselsbrock.com
Services provided through a Professional Corporation

From: Virani, Asif
Sent: Monday, March 06, 2017 11:10 AM
To: Birch, John; Ronde, Derek
Cc: Goodman, Gordon P.; Southern, Patricia
Subject: CLLAS Renewal - Borderau

Good morning John and Derek,

I attach herewith the CLLAS Open and Closed Borderau listing as at December 31, 2016. Can you please review this listing and let me know if there are any changes in status that are to be reported to CLLAS? In addition, are there any known claims or notices that are not on this schedule, including those reported to any other insurance carrier, that I am to include on this renewal application to today's date?

Thanks in advance for your help with this.

Asif



Asif Virani CPA, CA • Manager Treasury & Risk Management

Direct: +1 416 860 6892 • avirani@CasselsBrock.com
2100 Scotia Plaza, 40 King Street West, Toronto, Ontario, Canada M5H 3C2
www.casselsbrock.com

A handwritten signature in black ink, appearing to be 'Asif Virani'.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)															
Open and Closed Claims Bordereau															
As At December 31, 2016															
All Provinces															
Cassels, Brock & Blackwell LLP															
CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal Reserve	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal Reserve	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability

Claims to be added:

1. CLLAS file no. 2017-106 Ted Frankel re: Cemicorp International
2. new claim to be reported under separate cover: Frank Robinson of CBS re: Mark's Work Warehouse Ltd.

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2016
All Provinces

CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal Reserve	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal Reserve	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
93-159	REDMOND	MARGO BOURQUE	31-May-93	31-Oct-90	30-Jun-96	6	\$0	\$7,180	\$0	\$7,180	\$0	\$0	\$0	\$0	\$0
93-160	WIDNER	COLE BUILDING LIMITED	30-Jun-93	31-Oct-90	04-Apr-95	6	\$0	\$2,973	\$0	\$2,973	\$0	\$0	\$0	\$0	\$0
93-161	PAGE	HAYWARD BURRY LIMITED	31-May-93	30-Apr-93	29-May-98	6	\$0	\$22,667	\$0	\$23,742	\$0	\$0	\$0	\$0	\$0
93-163	Page	Hayward Burry Limited	31-May-93	30-Apr-93	29-May-98	6	\$0	\$21,292	\$0	\$22,667	\$0	\$0	\$0	\$0	\$0
94-077	VAKIL	ROYAL PRECISION TOOLIN	11-Feb-94	10-Jan-89	02-May-94	6	\$0	\$3,527	\$0	\$5,279	\$0	\$0	\$0	\$0	\$0
94-103	BUTSON	YORKE TOWNE DORATI	26-Aug-93	01-Jan-91	26-May-94	6	\$0	\$0	\$0	\$859	\$0	\$0	\$0	\$0	\$0
94-104	KIRSH	HOWARD SHAIN	17-Aug-93		28-Mar-94	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94-105	Cancellara	IONA GROUP OF COMPANIE	13-Oct-93	01-Aug-93	01-Feb-99	6	\$0	\$3,819	\$0	\$3,819	\$0	\$0	\$0	\$0	\$0
94-106	LEDREW	JAMES SKELTON	30-Aug-93		03-Dec-93	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94-107	ARTHURS	ROYAL TRUST AND LAURE	29-Oct-93	01-Jan-93	24-Jun-94	6	\$0	\$0	\$0	\$417	\$0	\$0	\$0	\$0	\$0
94-108	GOTLIEB	DIGIAMMARINO	23-Nov-93	01-Nov-91	21-Nov-94	6	\$0	\$0	\$0	\$1,238	\$0	\$0	\$0	\$0	\$0
94-109	WORLD	S. WOODLEY	08-Nov-93		25-Mar-94	6	\$0	\$606	\$0	\$606	\$0	\$0	\$0	\$0	\$0
94-110	ARTHURS	D. SANDERS	08-Nov-93		31-Mar-94	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94-111	CANCELLARA	Ridolph, Frank	17-Mar-94	01-Jun-85	08-Mar-95	6	\$0	\$0	\$0	\$1,465	\$0	\$0	\$0	\$0	\$0
94-112	STEELE	MARCO JELKIK	01-Mar-94		01-Jun-95		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94-113	BELL	CIBC	08-Feb-94	01-May-92	14-Apr-98	6	\$0	\$0	\$0	\$2,526	\$0	\$0	\$0	\$0	\$0
94-114	WESTCOTT	248221 ONTARIO LIMITED/T	13-Nov-93	01-Apr-91	11-Mar-96		\$0	\$1,588	\$0	\$1,588	\$0	\$0	\$0	\$0	\$0
94-115	CANCELLARA	DELLA TORRE, Louis	11-Apr-94	01-Aug-91	10-Aug-94	6	\$0	\$0	\$0	\$552	\$0	\$0	\$0	\$0	\$0
94-116	BARTRAM	DELLA TORRE, Louis	12-Apr-94	01-May-88	31-Jul-96	6	\$0	\$12,351	\$0	\$15,491	\$0	\$0	\$0	\$0	\$0
94-117	BURDEN	ONTARIO HOSPITAL ASSOC	22-Mar-94	01-Feb-94	03-Oct-95	6	\$0	\$10,534	\$0	\$10,534	\$0	\$0	\$0	\$0	\$0
94-143	Redmond	Cdn. Premier Property Invest	30-Jun-94	01-Jul-93	26-Oct-94	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94-144	Parks	Kate Kostiw and the Kodarics	30-Jun-94	01-May-94	31-Mar-96		\$40,000	\$13,169	\$0	\$54,734	\$0	\$0	\$0	\$0	\$0
94-145	Russell	Sweda Farms et al	30-Jun-94	01-Jul-89	30-Jun-96	6	\$0	\$8,366	\$0	\$10,395	\$0	\$0	\$0	\$0	\$0
94-146	Russell	Michael & Susan Douglas	30-Jun-94	01-Dec-92	31-Dec-94	6	\$0	\$1,365	\$0	\$1,365	\$0	\$0	\$0	\$0	\$0

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)
Open and Closed Claims Bordereau
As At December 31, 2016
All Provinces

CLLAS Claim Number	Lawyer Last Name	Claimant	Report Date	Error Date	Date Closed	Disp. Code	LS Paid Indemnity	LS Paid Legal	LS Current Reserve	LS Incurred Liability	CLLAS Paid Indemnity	CLLAS Paid Legal	CLLAS Indemnity	CLLAS Legal Reserve	CLLAS Incurred Liability
94-181	Monteleone	Elaine Cuddington	31-May-94	01-Feb-94	06-Feb-95	6	\$0	\$0	\$0	\$696	\$0	\$0	\$0	\$0	\$0
94-182	Monteleone	Cambridge Suites Hotel	14-Apr-94	01-Feb-94	31-Dec-94	6	\$4,000	\$0	\$0	\$5,984	\$0	\$0	\$0	\$0	\$0
95-003-01	Saltman	Con Drain Company et al	20-Jul-94	20-Jul-98	30-Jun-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
95-009	Widner	Scotia Mortgage Corp./St. Joh	31-Aug-94	01-Feb-93	31-Jul-96	6	\$0	\$0	\$0	\$883	\$0	\$0	\$0	\$0	\$0
95-066	Plener/Doe	Bob Reiger	31-Dec-94	01-Jul-91	31-Dec-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
95-067	Pinos	Frederick C. Maltman	31-Dec-94	01-Sep-94	10-Jul-97	6	\$0	\$0	\$0	\$1,226	\$0	\$0	\$0	\$0	\$0
95-080	Arthurs	T.R. Turbitt	28-Jul-94	01-Jan-92	02-Feb-95	6	\$0	\$0	\$0	\$523	\$0	\$0	\$0	\$0	\$0
95-093	Steele	ACM General Contracting - DI	31-Dec-94	01-Oct-94	09-Mar-04		\$0	\$19,147	\$0	\$19,147	\$0	\$0	\$0	\$0	\$0
95-094	Widner	Robert and Martine Celej	30-Mar-95	01-May-92	12-Dec-96	5	\$16,000	\$4,377	\$0	\$21,371	\$0	\$0	\$0	\$0	\$0
95-096	McNeely/Canc	Lidda Yonge Holdings Ltd/33	28-Mar-95	01-Mar-78	13-May-97	6	\$0	\$1,179	\$0	\$1,179	\$0	\$0	\$0	\$0	\$0
95-117	Law	L.B. Sheppard Estate/ D. She	30-Apr-95	01-Jun-92	11-Jan-01	5	\$10,000	\$25,855	\$0	\$35,855	\$0	\$0	\$0	\$0	\$0
95-150	Ellis	Mentor Networks Inc.	27-Jun-95	01-Jun-95	10-Apr-96		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
96-003	MacKay	Dakota Marketing	18-Jul-95	01-May-95	31-Oct-96	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
96-008	Kohn	Royal Insurance Company of	15-Aug-95	19-Jan-95	17-Mar-97	6	\$0	\$8,784	\$0	\$8,784	\$0	\$0	\$0	\$0	\$0
96-103	McCulloch	GreatWest Life Assurance Co	14-May-96	22-Mar-89	13-Dec-96	6	\$0	\$0	\$0	\$197	\$0	\$0	\$0	\$0	\$0
97-066	Stein	Gaming Lottery Corporation/B	17-Feb-97	09-Nov-94	14-Nov-02	5	\$0	\$259,811	\$0	\$259,811	\$0	\$0	\$0	\$0	\$0
98-018	Greatrex	John William Cowan	04-Jun-97	03-Oct-94	20-Jan-98	6	\$0	\$1,845	\$0	\$3,202	\$0	\$0	\$0	\$0	\$0
98-019	Gray	Aircraft Group Services (Asia)	29-Aug-97	01-Jun-96	31-Dec-08		\$0	\$369,587	\$0	\$369,587	\$0	\$0	\$0	\$0	\$0
98-091	Wilder	Natracentials Inc.	20-Apr-98	14-Aug-96	14-May-99	6	\$0	\$0	\$0	\$736	\$0	\$0	\$0	\$0	\$0
98-101	Fernandes	Transcon Recycling Inc.	11-Mar-97	03-Apr-96	10-Jan-01	6	\$0	\$2,159	\$0	\$2,159	\$0	\$0	\$0	\$0	\$0
98-102	Roth	Nekison Engineering & Contra	17-Feb-97	06-Apr-96	03-Jul-97	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-103	Ellis	Mentor Networks	27-Jun-95	01-Jun-95	23-Jan-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-104	Stein	Linda Stein	05-Jun-97	01-Apr-94	08-May-98	6	\$0	\$0	\$0	\$787	\$0	\$0	\$0	\$0	\$0
98-105	Greatrex	Priority Gas Marketing			05-Jan-98		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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98-106	Armstrong	Lansing Building Supply (Onta	01-Aug-97	19-Jan-81	22-May-98	5	\$28,000	\$1,741	\$0	\$29,741	\$0	\$0	\$0	\$0	\$0
98-107	Trebus	Solness Inc. and Judith Edler	19-Aug-97	22-Mar-91	22-Jan-98	6	\$0	\$1,232	\$0	\$1,232	\$0	\$0	\$0	\$0	\$0
98-108	Silver	Shirley Schwartz & Estate of L	26-Nov-97	30-Oct-97	21-Aug-01	5	\$330,000	\$43,226	\$0	\$368,226	\$0	\$0	\$0	\$0	\$0
98-109	Walker	Lorena Kerri/John Hepworth/M	01-Dec-97	01-Jan-95	24-Apr-98	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-110	Row	Kansa General/Dowdel	23-Jan-98	01-Apr-96	30-Nov-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
98-119	Bowen	Curragh Inc.	22-Jun-98	01-Aug-94	25-Jan-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-017	Wilder	YBM MAGNEX INTERNATIO	28-Aug-98	01-Jun-97	30-Sep-03	5	\$0	\$1,016,561	\$0	\$1,016,561	\$15,000,000	\$1,638,403	\$0	\$0	\$16,638,403
99-041	Manzer	Congress Financial Corporatio	01-Dec-98	28-Mar-96	11-Apr-03	5	\$162,500	\$85,392	\$0	\$247,892	\$0	\$0	\$0	\$0	\$0
99-082	Shannon	Lorraine MacLachlan	01-Feb-99	04-Jan-99	11-Jun-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99-126	Pinos	Emmanuel Feuerwerker	07-Apr-99	09-Mar-94	05-Mar-02	6	\$0	\$2,603	\$0	\$2,603	\$0	\$0	\$0	\$0	\$0
2000-021	Spencer	Canadian Tire Real Estate Lim	22-Sep-99	15-Jul-99	30-Jun-00	6	\$0	\$508	\$0	\$508	\$0	\$0	\$0	\$0	\$0
2000-024	Parks	Victor Dingley et al	27-Sep-99	24-Sep-99	18-Nov-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000-051	Edroos	Stephen Ruscoe	29-Sep-99	07-Sep-97	19-Nov-99	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000-069	Thomas	ING/Halfax formerly Guardia	26-Jan-00	01-Aug-96	22-Feb-00	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2000-095	McGowan	Robert Wiche and David Kings	14-Mar-00	09-Sep-97	31-Dec-08		\$0	\$6,040	\$0	\$6,040	\$0	\$0	\$0	\$0	\$0
2001-005	Steele	Anley & Associates Ltd/Notta	01-Aug-00	01-Jan-00	19-Sep-00	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2001-079	Pinos	1069362 Ontario Inc. c.o.b. as	16-Feb-01	18-Oct-00	04-Oct-01	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2001-139	Manzer	Dana Crunock and Voicemate	19-Jun-01	01-Aug-99	29-Sep-06	6	\$0	\$39,362	\$0	\$39,362	\$0	\$0	\$0	\$0	\$0
2002-027	Mohamed	Dominion of Canada Insuranc	23-Aug-01	08-Dec-99	17-Nov-03	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2002-067	Wallace	Vince Paletta Sr.	08-Nov-01	01-Mar-00	07-Dec-11	6	\$0	\$4,538	\$0	\$4,538	\$0	\$0	\$0	\$0	\$0
2002-097	Monteleone	John Stoneman, Sarah Stone	03-Jan-02	16-Mar-95	30-Sep-05	6	\$0	\$13,351	\$0	\$13,351	\$0	\$0	\$0	\$0	\$0
2002-134	Roth	PCI Constructors Eastern Inc.	04-Mar-02	09-Jan-02	03-Jan-03	6	\$0	\$1,650	\$0	\$1,650	\$0	\$0	\$0	\$0	\$0
2002-144	McGoey	Zurich Canada - Re Docke Sh	01-Apr-02	05-Aug-00	31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2002-251	Norton	PriceWaterhouse Cooper/Jeffr	30-Jun-02	26-Jan-02	02-Mar-07	5	\$130,000	\$208,401	\$0	\$338,401	\$0	\$0	\$0	\$0	\$0

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2003-001	Snowden	Thomas Gugelmeier	05-Jul-02	20-Sep-96	30-Sep-04	5	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-007	Manzer	Adam, Kassam & Kassam Ok	31-Jul-02	11-Dec-95	26-Apr-07	5	\$30,000	\$42,738	\$0	\$72,738	\$0	\$0	\$0	\$0	\$0
2003-021	Wattenworth	Stilo International plc	04-Sep-02	01-Jan-02	25-Jun-03	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-026	Herbst	HSBC Bank of Canada	20-Sep-02	09-Oct-01	22-Jul-04	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-029	Cohen	CompuOffice Software Inc/A	01-Oct-02	10-Aug-01	21-Nov-02	6	\$0	\$1,618	\$0	\$1,618	\$0	\$0	\$0	\$0	\$0
2003-034	Cancellara	Rita Tabone	07-Oct-02	13-Aug-90	27-Jun-03	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2003-046	Abdo	IMCO International Inc. (Nezih)	18-Oct-02	01-Aug-02	10-Jul-03	2	\$6,404	\$9,093	\$0	\$15,497	\$0	\$0	\$0	\$0	\$0
2003-068	Weinberg	Bernard Cheung and Ben Win	18-Sep-02	05-Feb-99	31-Mar-04	6	\$0	\$18,300	\$0	\$18,300	\$0	\$0	\$0	\$0	\$0
2003-117	Bowen	Woodlore Holdings Inc.	27-Jan-03	01-May-00	17-Feb-06	5	\$325,020	\$166,260	\$0	\$491,280	\$0	\$0	\$0	\$0	\$0
2004-004	Chisick	Croatian (Toronto) Credit Unio	23-Jul-03	28-Mar-03	25-Jul-03	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2004-056	Ward	Great Bay Development Corp.	04-Dec-03	08-Feb-01	15-Feb-07	6	\$0	\$7,474	\$0	\$7,474	\$0	\$0	\$0	\$0	\$0
2004-092	Angelson	Kylemore Homes Limited	09-Jan-04	06-Jan-04	24-Oct-05	6	\$0	\$1,083	\$0	\$1,083	\$0	\$0	\$0	\$0	\$0
2005-041	Silver	Chester Waxman and Family	14-Dec-04	01-Aug-88	31-Dec-05	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-042	Kovach	GKO - S&L Engineering	27-Oct-04	29-Jul-04	05-Oct-05		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2005-115	Redmond	Canadian Tire Real Estate Lim	31-Jan-05	24-Jul-02	02-Jun-06	5	\$170,000	\$17,931	\$0	\$187,931	\$0	\$0	\$0	\$0	\$0
2005-128	Peebles	Bruno Appliance and Furniture	15-Mar-05	07-Mar-02	25-Jun-15	6	\$0	\$0	\$0	\$0	\$0	\$38,338	\$0	\$0	\$38,338
2005-149	Shannon	Frank Vescio	15-Mar-05	21-Mar-94	31-Dec-08		\$0	\$5,463	\$0	\$5,463	\$0	\$0	\$0	\$0	\$0
2006-009	Bourque	Inner City Films Inc.	22-Jul-05	01-Dec-02	31-Dec-06	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2006-023	Peebles	Fred Mauldin	14-Sep-05		25-Jun-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2006-046	Peebles	John Willock	01-Dec-05		01-Feb-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2006-085	Hamilton	Donald MacAlpine	19-Jan-06	03-Mar-06	31-Oct-06	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-013	Roy	Blackmont Capital Inc and Ha	10-Aug-06	31-Jul-06	27-Dec-06	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-015	Wright	Rhys R. Fockler and Elinor D.	22-Aug-06	04-Feb-00	18-Aug-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-022	Redmond	1300497 Ontario Limited	29-Sep-06	07-Feb-02	13-May-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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2007-058	Burshtein	Rentcash	19-Dec-06	14-Dec-04	21-Mar-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-113	Mercier	2092248 Ontario Inc	16-Nov-06	21-Sep-06	27-Feb-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-127	Cote/O'Reilly	Copper Core Limited	26-Apr-07		04-Feb-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-132	Logie	Hanna Smilovici	11-Jun-07	01-Jan-07	28-Aug-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-141	Manzer	Golf Town Income Trust	25-Jun-07	01-Jun-98	28-Jun-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-142	Silver	The Electrolinks Corporation	20-Jun-07	01-Jan-07	12-Jul-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-158	Silver	Claudio Piccolotto	29-Jun-07	01-Feb-07	31-Dec-08		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2007-159	Saltman	Rennie Smythe	28-Jun-07	07-Dec-95	24-Jun-09	6	\$0	\$6,914	\$0	\$6,914	\$0	\$0	\$0	\$0	\$0
2008-019	Klukach	Eileen Rosen	30-Aug-07	20-Jul-04	09-Jun-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-028	Selznick	Entral Group International Inc.	11-Oct-07	05-Jan-09	30-Jun-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-066	Bullen	Dr. Hans M. Dosch et al.	19-Dec-07	01-Jan-06	24-Jun-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-074	Karn	Novator Systems Ltd.	26-Dec-07		31-Dec-07	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-075	O'Reilly	John Chiappetta	20-Dec-07		31-May-10	5	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-089	Woolford	Unicom Financial Limited	11-Jan-08	22-Nov-07	20-May-10	6	\$0	\$11,026	\$0	\$11,026	\$0	\$0	\$0	\$0	\$0
2008-093	Shannon	Tila Mo	22-Jan-08		29-Jan-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-098	Bourque	Rogers Cable Communication	24-Jan-08	01-Aug-05	31-Mar-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-113	Saltman	Jeffrey Lipson (Emilio Biscegli)	12-Mar-08	18-May-01			\$0	\$612,933	\$388,742	\$1,001,675	\$0	\$0	\$2,000,000	\$1,500,000	\$3,500,000
2008-123	Dunne	New Solutions Financial Corp	11-Apr-08	08-Oct-03	24-Aug-10	10	\$0	\$11,072	\$0	\$11,072	\$0	\$0	\$0	\$0	\$0
2008-127	Horst	Regional Municipality of Niagara	24-Apr-08	30-Jun-07	22-Jul-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-132	Roth	PCL Constructors Canada/PC	01-May-08		04-Mar-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-153	Koscak	Rolf Von Fintel, Troy Hurren, J	18-Jun-08	02-Jun-06	30-Jun-09		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-154	Dunne	Stone & Co. Corporate Funds	19-Jun-08		07-Aug-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2008-155	Burden	Joseph John Polito et al	20-Jun-08	14-Feb-04	28-Apr-09	6	\$0	\$9,496	\$0	\$9,496	\$0	\$0	\$0	\$0	\$0
2008-188	King	TSI Solutions Inc.	30-Jun-08		31-Jul-08	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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2009-018	Finlay	Deocharren Seelarram	15-Aug-08	03-Apr-07	11-Jan-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-024	Klukach	Javier Banuelos	24-Sep-08	14-Apr-03	06-May-10	6	\$0	\$22,540	\$0	\$22,540	\$0	\$0	\$0	\$0	\$0
2009-033	Lapalme	Andrew Edwards	20-Oct-08	15-Jun-08	30-Jun-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-048	Peebles	Demessey Limited	09-Nov-08		25-Jun-15	6	\$0	\$0	\$0	\$0	\$0	\$5,042	\$0	\$0	\$5,042
2009-049	Saltman	Registered Canadian Amateur	14-Nov-08		09-Aug-10		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-114	Manzer	HEPCOE Credit Union Ltd. (a	27-Feb-09	31-May-01	10-Feb-10	6	\$0	\$3,603	\$0	\$3,603	\$0	\$0	\$0	\$0	\$0
2009-115	Thomas (no longer Inveresk PLC		07-Feb-09		30-Jun-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-125	Budd	Charles Ivey	01-Apr-09		07-Apr-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-157	Aufy	The Second City Theatre Com	19-Jun-09	02-Jan-09	26-Aug-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2009-183	Yolles	Massi Corner Inc. and Bramco	30-Jun-09	27-Nov-08	25-Jul-12	7	\$0	\$3,841	\$0	\$3,841	\$0	\$0	\$0	\$0	\$0
2009-184	Klukach	Pamela Stevens (Roslyn Tsao	30-Jun-09	05-Oct-06	03-Dec-15	6	\$0	\$34,920	\$0	\$34,920	\$0	\$0	\$0	\$0	\$0
2009-185	Gottlieb	Harry Teperman and Irving Te	30-Jun-09	02-Jan-94	22-Mar-11	6	\$0	\$22,242	\$0	\$22,242	\$0	\$0	\$0	\$0	\$0
2009-186(a)	Marsh	Bohne Spring Industries Ltd.	30-Jun-09	01-Nov-08	30-Mar-10	6	\$0	\$6,405	\$0	\$6,405	\$0	\$0	\$0	\$0	\$0
2009-186(b)	Marsh	Bohne Spring Industries Ltd.	30-Jun-09		30-Mar-10	6	\$0	\$3,570	\$0	\$3,570	\$0	\$0	\$0	\$0	\$0
2010-003	Lean	Earl Gales and Jenkins, Gales	24-Jul-09	12-Apr-05	14-Jan-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-006	Shaw	2049080 Ontario Inc. (d.b.a. J.	28-Jul-09	06-Feb-09	19-Aug-09	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-020	Newman	Business Development Bank o	03-Sep-09	28-Jul-06	18-Feb-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-025	English	The Rose Corporation/Livia C	22-Sep-09	30-Jun-08	25-Jan-10	6	\$0	\$919	\$0	\$919	\$0	\$0	\$0	\$0	\$0
2010-031	Widner	Robert Lawrie and Hawk Site I	02-Oct-09	19-May-00	28-Jan-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-032	Widner	Robert Lawrie and Hawk Site I	02-Oct-09		28-Jan-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-039	Warner (no longer Woodlands by Sierra Inc. and		19-Oct-09	15-Jun-06	30-Sep-11	5	\$50,000	\$35,000	\$0	\$85,000	\$0	\$0	\$0	\$0	\$0
2010-047	Bourque	Ri-Go Lift Truck Ltd.	29-Oct-09		16-Nov-09	15	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-059	Harris	Trillium Motor World (General	19-Nov-09	07-May-09			\$0	\$1,000,000	\$0	\$1,000,000	\$0	\$3,750,825	\$28,471,227	\$1,777,949	\$34,000,000
2010-062	Lelek	Sylvette Brown and Murray Br	24-Nov-09	14-Oct-09	21-Jan-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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2010-063	Campbell	Caspian Energy Inc.	03-Dec-09	12-Jan-09	24-Feb-10	6	\$0	\$1,785	\$0	\$1,785	\$0	\$0	\$0	\$0	\$0
2010-065	Arthurs	Zurich Insurance as subrogee	03-Dec-09	18-May-01			\$0	\$71,976	\$928,024	\$1,000,000	\$0	\$0	\$500,000	\$0	\$500,000
2010-102	Saltman	Jeanette Igra	25-Feb-10	15-Nov-94	09-Sep-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-107	Rossiter (no longer)	SkyLink Aviation Holdings, LL	10-Feb-10	06-Nov-08	25-Feb-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-112	Gottlieb	York Super Pharmacy Ltd. an	02-Mar-10	01-Oct-88	28-Apr-11	6	\$0	\$8,418	\$0	\$8,418	\$0	\$0	\$0	\$0	\$0
2010-113	Lysy	The Equitable Trust Company	05-Mar-10	08-Jan-08	13-Nov-12	5	\$215,000	\$0	\$0	\$215,000	\$0	\$0	\$0	\$0	\$0
2010-114	Mercer	Homeland Uranium Inc.	05-Apr-10	01-Jun-09	31-Mar-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-144	Steinhart	The Rose Corporation	19-Apr-10	13-Nov-07	03-Aug-10	2	\$0	\$15,824	\$0	\$15,824	\$0	\$0	\$0	\$0	\$0
2010-147	McGoey	Michael Reyes	26-Apr-10		31-Mar-11	8	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-164	Cohen	Leigh S. Ulrich	27-May-10	26-Jun-09	31-May-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2010-184	Makuch	Town of Georgina	30-Jun-10	30-Sep-02	02-May-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-006	Machado	Eureka Importing and Distribut	20-Aug-10	04-Feb-09	09-Nov-10	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-014	Vettese	Royal Laser Corp.	01-Sep-10	01-May-06	13-Jul-12	4	\$0	\$201,671	\$0	\$201,671	\$0	\$0	\$0	\$0	\$0
2011-017	Young	Go-Go Travel & Tours Ltd.	17-Sep-10	15-Jul-10	30-Jun-11		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-021	Plener	Roy A. Miakar	30-Sep-10		22-Mar-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-028	Goldsilver	Prescott-Russell Services to C	19-Oct-10	25-Jan-10	17-Mar-14	5	\$133,000	\$25,000	\$0	\$158,000	\$0	\$0	\$0	\$0	\$0
2011-031	Frankel	Scan Tube Steel Services	21-Oct-10	30-Jul-10	22-Mar-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-042	Silver	Jaystren Holdings Limited and	08-Nov-10	09-Sep-05	23-Jul-12	6	\$0	\$28,638	\$0	\$28,638	\$0	\$0	\$0	\$0	\$0
2011-043	Yolles	Willowfield Winchester Inc.	10-Nov-10	01-Jul-10	10-Mar-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-048	Sernoskie	GE Canada Equipment Financ	17-Nov-10	05-May-10	06-Jan-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-049	Young	Governing Council of the Univ	19-Nov-10	02-Jan-95	27-May-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-050	Yolles	Dalemont Properties Inc.	22-Nov-10	03-Feb-10	04-May-15	16	\$0	\$12,854	\$0	\$12,854	\$0	\$0	\$0	\$0	\$0
2011-073	Makuch	Allan Lazier (Alkar Investment	29-Dec-10	01-Nov-10			\$0	\$93,554	\$56,446	\$150,000	\$0	\$0	\$0	\$0	\$0
2011-088	Reback	Mercen Canada Toronto Inc.	13-Jan-11	01-Jun-10	31-Mar-11		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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2011-115	Redmond	Asa et al	22-Feb-11	04-Sep-08	28-Jul-14	5	\$7,500	\$8,523	\$0	\$16,023	\$0	\$0	\$0	\$0	\$0
2011-129	Platina	Sunview Patio Doors Ltd.	10-Mar-11	06-Jan-11	01-Mar-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-169	Hamilton	Helena Guergis	29-Apr-11	09-Apr-10			\$0	\$168,404	\$193,921	\$362,325	\$0	\$0	\$0	\$0	\$0
2011-172	Vettesse	Bayfront Capital Partners Limit	10-May-11	24-Mar-11	22-Jun-12	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-186	Shannon	Brittney Galea and Rachel Gal	09-Jun-11	25-Feb-11	08-Aug-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-200	Milot	Michael Coveley and Solbyun	01-Jan-11		30-Jun-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2011-204	Muchnik	CIBC Mortgages Inc.	16-May-11	01-Jan-11	04-Nov-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-003	Dickson	Fearless Investments Limited/	19-Jul-11	06-Apr-04	06-Nov-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-013	Weinczok	Ascendia Brands Inc. and Asc	09-Aug-11	01-Jun-08	31-Dec-13	6	\$0	\$0	\$0	\$0	\$0	\$9,623	\$0	\$0	\$9,623
2012-032	Frankel	Hy-Drive Technologies Ltd. et	11-Oct-11	29-Jun-11	24-Nov-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-033	Warner	Douglas and Jennifer Kamphu	21-Sep-11		30-Jun-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-040	Harris	Saturn Dealers/Stuart Budd &	28-Sep-11				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-051	Glickman	Kaleidoscope Entertainment In	28-Nov-11	01-Jan-11	07-Dec-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-058	Goldsilver	New Gold Inc.	07-Dec-11	31-Dec-10	16-Dec-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-059	Parks	Donald B. Dymment Holdings Li	07-Dec-11	01-Feb-08	31-Mar-14	6	\$0	\$22,055	\$0	\$22,055	\$0	\$0	\$0	\$0	\$0
2012-063	Gottlieb	Beneficiaries of the Estate of	01-Dec-11	28-Jul-06	13-Nov-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-064	Glickman	Water & Wellness Solutions In	16-Dec-11	25-Apr-11	07-Dec-11	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-074	Silver	Frank Mastinsek	22-Dec-11	15-Sep-06	27-Mar-12	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-149	Pinos	Brock University	04-May-12	01-Feb-11	31-Dec-13	5	\$22,000	\$20,518	\$0	\$42,518	\$0	\$0	\$0	\$0	\$0
2012-150	Dennis	University of Toronto	18-Apr-12	03-Feb-12	23-Nov-12	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2012-191	McGill	Don Ferguson	05-Apr-12	06-Nov-12			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-008	Shamess	Cleveland Shaw	10-Aug-12	07-Sep-11	07-Feb-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-011	Redmond	Dr. Stuart Shanker and Mrs. Vi	09-Aug-12	25-Feb-10	19-Feb-13		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-013	Young	Int'l Oil and Gas Technology L	14-Aug-12	01-Jun-08	23-Apr-15	6	\$0	\$125,486	\$0	\$125,486	\$0	\$0	\$0	\$0	\$0

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2013-024	Saltman	VanderMarel Investment Corp	27-Sep-12	31-Jul-11	25-Nov-15	5	\$708,333	\$291,667	\$0	\$1,000,000	\$0	\$34,457	\$0	\$0	\$34,457
2013-038	Blackburn	Brad Cabana	30-Oct-12	09-May-12	22-Feb-13	6	\$0	\$13,999	\$0	\$13,999	\$0	\$0	\$0	\$0	\$0
2013-046	Robinson	2180511 Ontario Limited o/a A	23-Nov-12	15-Oct-11	07-Feb-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-050	Butler	Victor Korchenko	29-Nov-12	15-Nov-11	10-Jan-13	8	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-060	Woolford	Wheels International Freight S	20-Dec-12	14-Aug-09	03-Jul-13	2	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-065	Mercier	Tom Baker	28-Dec-12	27-Apr-12	31-Dec-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-084	Wilder	Thomas Smeenk	27-Feb-13	30-Jun-09	17-Dec-13	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-085	O'Brien	Research Planning & Marketin	26-Feb-13	01-Jul-09	18-Dec-13		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-092	Cohen	Luc Lacroix et al	05-Mar-13	01-May-10	21-Aug-14	6	\$0	\$25,864	\$0	\$25,864	\$0	\$0	\$0	\$0	\$0
2013-096	Chun	Step by Step Custom Orthotic	14-Mar-13	06-Jun-11		0	\$0	\$9,615	\$215,385	\$225,000	\$0	\$0	\$0	\$0	\$0
2013-142	Leonard	Nortel Networks UK Pension T	27-Jun-13	30-Sep-09	16-Apr-14	6	\$0	\$7,980	\$0	\$7,980	\$0	\$0	\$0	\$0	\$0
2013-146	Saltman	Hilco UK Limited	01-May-13		10-Mar-14	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2013-153	Kovach	Transpharm Canada Inc. and	23-May-13	01-Sep-11			\$0	\$48,199	\$35,128	\$83,327	\$0	\$0	\$0	\$0	\$0
2013-156	Dunne	David C. Phillips and First Lea	30-May-13	19-Aug-11		0	\$0	\$31,913	\$129,886	\$161,799	\$0	\$0	\$0	\$0	\$0
2014-041	Jessome	Bourne Mills of Canada Inc.	08-Nov-13		17-Mar-14	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2014-045	Lord	Northland Resources S.A.	18-Nov-13	09-Jan-13			\$0	\$25,111	\$21,725	\$46,836	\$0	\$0	\$0	\$0	\$0
2014-046	Silver	Donald Best	10-Oct-13	17-Nov-09	14-Jun-16	6	\$0	\$22,238	\$0	\$22,238	\$0	\$0	\$0	\$0	\$0
2014-075	Wong	0789725 BC Ltd. & 1346012	21-Jan-14	14-May-07			\$0	\$12,092	\$9,136	\$21,228	\$0	\$0	\$0	\$0	\$0
2014-084	Reynal de St. Mic CBE S.A.S.		19-Feb-14	07-May-12	12-Jan-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2014-102	Silver	BNL Entertainment Inc. et al.	22-Nov-13	13-Mar-07	11-Sep-15	2	\$43,850	\$0	\$0	\$43,850	\$0	\$0	\$0	\$0	\$0
2014-125	Redmond	Krinos Foods & Amfissa	20-May-14	31-Dec-04	13-Aug-15	2	\$0	\$8,244	\$0	\$8,244	\$0	\$0	\$0	\$0	\$0
2014-131	Stein	The Cash Store Financial Serv	02-Jun-14	26-Jul-12			\$0	\$224,672	\$525,832	\$750,504	\$0	\$0	\$1,000,000	\$0	\$1,000,000
2014-146	Tenaille	Gondwana Oil Corp	27-Jun-14	16-Sep-13	18-Jul-14	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2014-151	Dunne	North American Capital Inc. et	30-Jun-14	01-Jan-07			\$0	\$0	\$10,000	\$10,000	\$0	\$0	\$0	\$0	\$0

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2015-001	Breen	Premier Executive Suites Limit	07-Jul-14	25-Jun-14	07-Jan-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015-006	English	Estate of Ross Smith and Tod	16-Jul-14	24-Apr-11	22-Apr-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015-011	Ground	Extreme Venture Partners Fun	31-Jul-14	29-Jul-11			\$8,750	\$95,450	\$139,871	\$244,071	\$0	\$0	\$0	\$0	\$0
2015-022	Bessner	Paul Azeff and Korin Bobrow	25-Sep-14	26-Oct-12	15-Jun-16	5	\$220,000	\$18,977	\$0	\$238,977	\$0	\$0	\$0	\$0	\$0
2015-029	Horst	Roynat Capital Inc.	16-Oct-14	19-Apr-12	31-Mar-15	6	\$0	\$6,320	\$0	\$6,320	\$0	\$0	\$0	\$0	\$0
2015-073	Uukkivi	Croy Properties Inc. et al	08-Dec-14	17-Oct-14	07-Jul-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2015-081	McGill (retired)	Beneficiaries of Estate of Ruth	17-Feb-15	27-Sep-11	23-Aug-16	5	\$9,018	\$15,982	\$0	\$25,000	\$0	\$0	\$0	\$0	\$0
2015-144	Robinson	Grant Thornton receiver of Ka	02-Jun-15	24-Nov-14	27-Nov-15		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2016-006	McGowan	Achilles Media Inc	27-Jul-15	24-Oct-09	30-Mar-16	6	\$0	\$4,378	\$0	\$4,378	\$0	\$0	\$0	\$0	\$0
2016-010	Ward	Fanotech Enviro Inc. et al v. F	24-Jul-15	01-Feb-13	24-Sep-15	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2016-030	Sermoskie	Sterling Capital Management I	07-Oct-15	29-May-15			\$0	\$0	\$95,000	\$95,000	\$0	\$0	\$0	\$0	\$0
2016-037	Abramson	Equity Financial Holdings Inc.	02-Nov-15	11-Dec-14			\$0	\$12,436	\$136,354	\$148,790	\$0	\$0	\$0	\$0	\$0
2016-084	Redmond	1586896 Ontario Inc. (Reg an	27-Jan-16	03-Jul-08			\$0	\$21,965	\$203,035	\$225,000	\$0	\$0	\$0	\$0	\$0
2016-108	Rossiter	Lorne Pielt	21-Mar-16				\$0	\$1,162	\$188,838	\$190,000	\$0	\$0	\$0	\$0	\$0
2016-112	Hogan	National Bank Financial Inc.	24-Mar-16	01-Jan-15			\$0	\$0	\$10,000	\$10,000	\$0	\$0	\$0	\$0	\$0
2016-126	Robinson	Grant Thornton as receiver Ka	12-Apr-16	21-Mar-16	07-Nov-16	6	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2016-136	Glickman	Cavium Inc.	27-Apr-16	17-Feb-16			\$0	\$0	\$10,000	\$10,000	\$0	\$0	\$0	\$0	\$0
2016-144	Kapsales	Northwest Atlantic (Canada) In	19-May-16	01-Apr-12			\$0	\$2,492	\$262,508	\$265,000	\$0	\$0	\$0	\$0	\$0
2016-173	Kapsales	David and Karen Anderson	13-Jun-16	01-Sep-11			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-036	King	Frontier Rare Earths Limited	05-Oct-16				\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-060	Cohen	Meyn Canada Inc. et al	28-Nov-16	21-Jan-08			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-064	Mercer	Lundin Gold Inc (formerly Fortr	08-Dec-16	03-Aug-10			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-071	Leisk	TDL Group Corp.	22-Dec-16	01-Apr-16	11-Jan-17		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
2017-096	Campbell	Northquest Ltd.	27-Jan-17	15-Jun-15			\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Cassels Brock & Blackwell LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2017)

Name of Firm: Cassels Brock and Blackwell LLP

1. Please advise if there is a committee or partner with overall responsibility for professional liability risk management of your firm.

Audit & Risk Management Committee (ARMC) and John Birch, ARMC Member and General Counsel

The ARMC is responsible for the administration of the various insurance policies maintained by the Firm. This Committee includes at least one litigation lawyer who monitors claims made against the professional liability policy.

The ARMC is also responsible for maintaining standards of practice and ethics within the Firm. It also deals with matters involving conflicts or potential conflicts of interest, complaints from clients and a variety of other matters.

2. Please provide a full description of the firm's risk management policies and procedures and include the following:

The Firm has written policies and procedures dealing with a number of risk management areas, including conflicts of interest, trust funds, lawyers acting as directors and officers, ownership of significant interests in a client, audit enquiries, professional conduct, ethics and standards, professional development, confidentiality and security, pre-clearance of securities trades, file intake and retainers. The firm also provides guidance to lawyers regarding opinions and outside counsel guidelines.

- (a) A list of the firm's written risk management policies and procedures (e.g. with respect to client intake, conflict checking, lawyers acting as directors or officers) and how these policies and procedures are communicated (e.g. internal practice policy or policy manual, seminars, etc.).

The Firm's risk management policies and procedures are documented in the Firm's policy manual and stand-alone policies, which include the following:

- client intake**
- conflict checking**
- directors and officers**
- professional errors and omissions**
- securities trading**

New lawyers and other employees receive instruction regarding the Firm's risk management policies and procedures during orientation sessions that are carried out at the commencement of their employment. The Firm's risk management policies are readily accessible on-line at all times on the

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2017)

Name of Firm: Cassels Brock and Blackwell LLP

Firm's intranet and in the document management system. Lawyers and staff are advised of updates to the Firm's risk management policies and procedures as they occur and appropriate amendments are reflected in the policy manual and document management system.

- (b) The firm's procedure for opening or starting work on a file (e.g. use of a standard new client form, retainer letter, conflict check, approval process, etc.).

File Openings

For all new client file-opening forms that are received, the client and matter name is searched on both client and matter levels. If any potential conflict is found, the initiating lawyer/assistant is notified. If the Accounting Department is advised that there is no conflict, the file is opened. If the Accounting Department is advised that there is a conflict, the file is not opened.

For all new matters for existing clients) the client and matter name is searched on both client and matter levels.

The Daily Open Client & Matter report is circulated to all lawyers and assistants. As stated in the opening statement of that report, it is the responsibility of each lawyer to review the report and act immediately if a potential conflict is disclosed.

Conflict Inquiries

For all prospective clients and all new matters for existing clients, unless in the latter case it is absolutely clear that there is no opposite party or, if so, that it is absolutely clear that, for whatever reason, there could be no conflict, the initiating lawyer sends a conflict inquiry using the Conflict Search form.

When a conflict inquiry is received, the File Opening Clerk does a systems search of the client database (open and closed). The data includes recorded opposite parties. If any potential conflict is revealed, a conflict report printout is produced and sent to the initiating lawyer to discuss/resolve with any other lawyers involved, if that can be done without revealing confidential information. In situations where it may be necessary to reveal confidential information in order to assess and resolve a potential conflict, a member of the ARMC receives this confidential information separately from each side and provides guidance regarding the conflict (without revealing the confidential information).

CLLAS Renewal Application
Appendix G – Risk Management Policies and Procedures
(originally filed in 2009; reviewed March 2017)

Name of Firm: Cassels Brock and Blackwell LLP

Notice of a confidentiality screen is circulated to all affected parties. At this point the client file security measures are put in place immediately.

Retainer Letter

The firm's policy is that an engagement letter must be prepared and sent to the client in respect of all new matters (including new matters for an existing client). The engagement letter generally addresses the following issues:

- Scope of work**
- Name of the lawyer(s) providing the services**
- Name of the principal lawyer**
- Fees**
- Out-of-pocket expenses**
- Retainer and trust deposit**
- Billing arrangements and terms of payment**
- Termination of representation (withdrawal circumstances, etc.)**

In addition, standard terms and conditions (contained in a separate document that is sent with the engagement letter) are incorporated by reference into most engagements.

Tickler/Follow-Up Systems

It is the responsibility of each lawyer to select and maintain his/her own tickler system (computerized or manual) to remind him/her to deal with a particular issue within a specific time frame. Lawyers are asked to familiarize themselves with the tickler system used by other lawyers in their Practice Group, and ensure matters are brought forward in a timely manner.

The intellectual property sub-group of the Business Law Group has a separate, specific system for diarizing trademark-related deadlines.

APPENDIX H

CYBER LIABILITY

Name of Firm: Cassels Brock & Blackwell LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Chief Financial Officer, Chief Information Officer

- b) Do you have a Chief Privacy Officer or equivalent? ☐ yes ☒ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

Director, Human Resources and General Counsel

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

☒ Data at rest

☒ Data in transit

☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)

☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☐ yes ☒ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☐ yes ☒ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☐ yes ☒ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?
☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?
☐ yes ☒ no

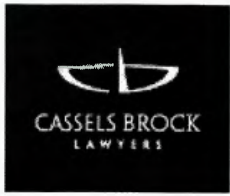


CASSELS BROCK
LAWYERS

DATE	March 28, 2014 (Reviewed March 6, 2017)	MEMORANDUM
TO	CBB CLLAS Renewal Application	
FROM	Asif Virani	
RE	Additional Information re. Question 2 of Appendix H	

Additional data protection in place:

- Physical, onsite access to network servers and attached storage is restricted by industry standard card reader technology. Access is limited to key IT Department and Office Services staff members.
- Login access to servers and storage devices while in the office is restricted to key IT Administrators.
- Onsite, staff members must enter a username and password combination to access files and other network resources. Staff are required to change their password on a periodic basis.
- Remote access to network resources, files and applications is facilitated via a Citrix system. Staff must enter a username and password combination. Additionally, remote access via Citrix also requires a PIN+Tokencode combination provisioned by industry accepted third party application SecurID from RSA, an EMC company.



Date: March 14, 2017

Privacy Statement

Privacy Policy

Cassels Brock & Blackwell LLP (Cassels Brock) is a full-service business law firm with offices in Toronto, Vancouver and Calgary. The lawyers and staff at Cassels Brock (sometimes referred to as “we”) are committed to protecting your privacy. This Privacy Policy outlines how we handle your personal information to protect your privacy.

Privacy Legislation

Since January 1, 2004, all organizations collecting, using or disclosing personal information in Canada in the course of commercial activities have been required to comply with the *Personal Information Protection and Electronic Documents Act* (“**PIPEDA**”) and any applicable “substantially similar” provincial legislation. These obligations extend to lawyers and law firms, including Cassels Brock.

In addition, as a professional services firm, we have professional and ethical obligations to keep confidential the information we receive in the context of a lawyer-client and agent-client relationship.

Personal Information

Personal information is defined in PIPEDA as information about an identifiable individual, but does not include the name, title or business address or telephone number of an employee of an organization. In other words, it does not include the information that one expects to find on a business card (other than an e-mail address which *is considered to be personal information*).

Consent to Our Collection, Use and Disclosure of Personal Information

In most cases, we obtain your consent to collect, use and disclose your personal information. If you retain Cassels Brock, we assume that we have your implied consent to our collection, use and disclosure of your personal information for the purposes of providing legal services to you, your company or organization; however, at times we may ask for your express consent, either verbally or in writing. By providing your personal information to us, you agree that we may collect, use and disclose your personal information as outlined in this Privacy Policy.

Withdrawal of Consent

You may withdraw your consent to our collection, use and disclosure of your personal information at any time, subject to legal and/or contractual restrictions and upon reasonable notice. However your withdrawal of consent to our collection, use and disclosure of your personal information may affect our ability to represent and provide legal advice to you, your company or organization.

You can ask us not to send e-mail marketing communications to you by following the opt-out instructions in each communication or you may let us know by contacting our marketing department at unsubscribe@casselsbrock.com. If you prefer not to be contacted by other means, such as by regular mail or by phone, please provide those instructions to the lawyer or agent with whom you are dealing, or to our Privacy Officer, whose contact information is provided below.

Collection of Personal Information

Generally we collect your personal information directly from you at the start of or during the course of your retainer with Cassels Brock. We may also obtain personal information about you from other sources, such as:

- other individuals within your company or organization, where we are representing the company or organization;
- your insurance company or other financial institution;
- your real estate agent in a property transaction;
- your legal counsel in another jurisdiction, if we were retained through the other law firm;
- from a government agency or registry;
- your employer, if we are acting for you; or
- your accountant.

Use of Personal Information

We use your personal information to provide legal advice and services to you, your company or organization, to issue invoices and to maintain our database of clients.

We may also use your personal information so that we may communicate with you about recent developments in the law, keep you abreast of Cassels Brock news and invite you to our firm events.

Disclosure of Personal Information

Generally, we do not disclose your personal information to third parties without your consent unless permitted or required by applicable law. There are some situations in which we may disclose your personal information without your consent. For example:

- when we are required or authorized by law to do so, for example if a court issues a subpoena;
- where it is necessary to establish or collect fees; or

- if the information is already on the public record and the disclosure is directly related to the purposes for which it was recorded on the public record.

In some cases, your consent will be implied. For example, when the legal services we are providing to you require us to give your information to a third party (such as to a lender in a real estate mortgage transaction in which we are representing you) we would rely on your implied consent to the disclosure. If our firm is sold or merged with another firm your consent will be implied to transfer your information to the successor firm in order to continue to provide services to you, unless you tell us otherwise. If we are required to contact our insurers in connection with a claim that you have against us, we will assume that we have your implied consent to the disclosure of your personal information to the insurer.

Lastly, from time to time we may transfer your personal information to the firm's service providers. Under PIPEDA, a transfer to a service provider is not considered to be a disclosure for which your consent is required; however, we will use contractual or other means to ensure that the third party service provider is bound by obligations regarding privacy that are consistent with this Policy and our obligations under PIPEDA. Examples of transfers to service providers would be situations in which we contract with third parties to provide us with services such as archival file storage or insurance. Other examples would include cases where we engage other service providers such as expert witnesses or law firms in other jurisdictions on your behalf. Service providers may be located in various countries, so please be aware that authorized officials of governments in those countries may be lawfully able to access your personal information without your knowledge or consent pursuant to the laws of such countries. To the extent that we are permitted to do so by applicable law, we will notify you if we are advised that this access has occurred.

Accuracy of Your Personal Information

It is important that the personal information that we have on file be accurate and up-to-date. If, during the course of the retainer, any of your personal information changes, please inform us so that we can make any necessary changes. We may also ask you from time to time whether your personal information is up-to-date.

Safeguards

Cassels Brock uses various administrative and technological safeguards to ensure that your personal information is protected against loss, theft, misuse, unauthorized access, disclosure, copying or alteration. These include: security of our physical premises; our professional obligations; security software and firewalls to prevent unauthorized computer access or "hacking"; and internal passwords that restrict access to our electronic files.

Access to your Personal Information

You have a right to challenge the accuracy and completeness of your personal information and to have it amended, as appropriate. You also have a right to request access to your personal information and receive an accounting of how that information has been used and disclosed, subject to certain exceptions prescribed by law. For example, if the requested information would reveal personal information about another individual, your access may be limited or denied. If your request for access is denied, Cassels Brock will notify you in writing of the reason for the denial.

To request access or to amend your personal information, please contact the lawyer or agent with whom you

normally correspond or write to our Privacy Officer at the address below. Cassels Brock will respond within 30 days of receipt of your written request.

Challenging Compliance

Cassels Brock will respond to inquiries about its policies and practices relating to its handling of your personal information. Inquiries should be directed to Cassels Brock's Privacy Officer using the contact information below. Cassels Brock will investigate all complaints and will respond within 30 days of receipt of a written inquiry. If the complaint is found to be justified, we will take appropriate measures to resolve it, including, if necessary, amending this Policy and its procedures.

Website Privacy

Like most other organizations, we may monitor traffic patterns, site usage and related site information. Our website server will automatically collect IP addresses, and we may use a browser feature called a "cookie" to optimize your visit to our website. You may set your browser to turn cookies off during your use of any website and, in any event, when you close your browser the cookie is deleted. We do not link your IP address or cookies to other personally identifiable information.

By accessing and browsing our website, you agree that we may collect, use and disclose any personal information collected about you through our website as described in this Policy.

Privacy Officer

If you have any questions or complaints about this Policy or the handling of your personal information, if you wish to withdraw your consent to our use of your personal information, or to request access to or update any information we have on file, please contact the lawyer or agent with whom you are dealing, or contact:

Deborah Glatter
Cassels Brock & Blackwell LLP
2100 Scotia Plaza
Toronto ON M5H 3C2

dglatter@casselsbrock.com
(416) 860-6606
Toll Free (877) 869-5300, ext. 6606

Changes to this Privacy Policy

We may change this Privacy Policy from time to time. Any changes will be posted on our website at www.casselsbrock.com and will be made available upon request through your contact at Cassels Brock. Please check from time to time to ensure you are aware of our current policy. This Privacy Policy is effective June 9, 2009.

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Cassels Brock & Blackwell LLP

Please see attached.

***** FIRM SUMMARY *****

LAW SOCIETY OF UPPER CANADA

Page 1 of 6

To: CASSELS BROCK & BLACKWELL LLP
SCOTIA PLAZA
#2100 - 40 KING ST WEST
TORONTO, ON M5H 3C2

Invoice Date: 23 NOV 2016
Policy Effective/Due Date: 01 JAN 2017
Total Amount: \$538,714.80
Account: A034880

Attention: Accounts Payable

***** PREMIUM DETAIL *****

Description	Amount
CLAIMS HISTORY LEVY SURCHARGE	\$15,000.00
ADJUSTED BASE-RATED PREMIUM	\$483,810.00
PST	\$39,904.80
*** TOTAL ***	<u>\$538,714.80</u>

***** INSTALMENT SUMMARY *****

Instalment Date	Original Amount	Paid Amount	Open Amount
** PAYABLE 01 JAN 2017 **	\$538,714.80	\$0.00	\$538,714.80
*** TOTAL ***	<u>\$538,714.80</u>	<u>\$0.00</u>	<u>\$538,714.80</u>

Early payment discount: Before 07 FEB 2017, pay only: \$529,696.80
After 07 FEB 2017, pay: \$538,714.80
Save: \$9,018.00

(Detach and return with payment)

Account: A034880
CASSELS BROCK & BLACKWELL LLP

Due Date: 01 JAN 2017
Instalment Total: \$538,714.80
Balance: \$538,714.80
Amount Enclosed: _____

Pay To: Law Society of Upper Canada
c/o Lawyers' Professional Indemnity Company
250 Yonge Street
Suite 3101, P.O. Box 3
Toronto, ON M5B 2L7

*** Please attach a copy of the Firm Summary to your cheque ***

***** FIRM SUMMARY *****

Firm: A034880

Page 2 of 6

The following members are covered on this summary:

Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A0116600	PETERSON, DAVID ROBERT	\$3,429.00	\$3,429.00	\$3,429.00
A013475H	CRAIG, JOHN HUNTER	\$3,429.00	\$3,429.00	\$3,429.00
A015550F	BURDEN, WILLIAM JOHNSON	\$3,375.00	\$3,375.00	\$3,375.00
A016589I	ABRAMSON, LANNING JAY	\$6,129.00	\$6,129.00	\$6,129.00
A016808H	GOTLIEB, MAXWELL	\$3,429.00	\$3,429.00	\$3,429.00
A017904B	PLENER, SHELDON	\$3,429.00	\$3,429.00	\$3,429.00
A018514A	MANZER, ALISON ROSEMARY	\$3,321.00	\$3,321.00	\$3,321.00
A018593C	SELZNICK, STEPHEN IRA	\$3,429.00	\$3,429.00	\$3,429.00
A019650Q	PAGE, SIDNEY JOHN	\$3,429.00	\$3,429.00	\$3,429.00
A019947K	KLIGMAN, ROBERT DAVID	\$3,429.00	\$3,429.00	\$3,429.00
A020027U	PINOS, JOSEPH DAVID TIMOTHY	\$6,129.00	\$6,129.00	\$6,129.00
A020135M	YOUNG, MARK IAN	\$3,429.00	\$3,429.00	\$3,429.00
A020880B	CLARK, WILLIAM BRUCE	\$3,321.00	\$3,321.00	\$3,321.00
A021142G	STEINHART, GARY	\$3,429.00	\$3,429.00	\$3,429.00
A021789J	MINGAY, CAMERON ARTHUR	\$3,429.00	\$3,429.00	\$3,429.00
A021828Q	REEVE, JAMES BRIAN	\$3,429.00	\$3,429.00	\$3,429.00
A022845E	NORTON, CHRISTOPHER BRIAN	\$3,429.00	\$3,429.00	\$3,429.00
A022897M	SNIDER, KENNETH JUDD	\$3,429.00	\$3,429.00	\$3,429.00
A023072U	HALL, TERENCE DOUGLAS	\$3,429.00	\$3,429.00	\$3,429.00
A023256T	STEIN, PAUL MICHAEL	\$3,429.00	\$3,429.00	\$3,429.00
A023320F	ZAKAIB, GLENN MICHAEL	\$3,429.00	\$3,429.00	\$3,429.00
A024238L	SILVER, LORNE STEPHEN	\$6,129.00	\$6,129.00	\$6,129.00
A025356I	GLICKMAN, LEONARD	\$3,429.00	\$3,429.00	\$3,429.00
A025675Q	ROY, JEFFREY PAUL	\$3,429.00	\$3,429.00	\$3,429.00
A025728B	MERCER, CATHY LEE	\$3,429.00	\$3,429.00	\$3,429.00
A025846K	KARN, BERNICE MABELLE	\$3,429.00	\$3,429.00	\$3,429.00
A026202G	GRIEVE, DEBORAH SUSAN	\$3,429.00	\$3,429.00	\$3,429.00
A026367J	SHAW, GEOFFREY BRACKLEY	\$3,321.00	\$3,321.00	\$3,321.00
A026754K	MCGOWAN, JOHN PHILIP	\$3,429.00	\$3,429.00	\$3,429.00
A026798Q	ALTER, MATTHEW RICHARD	\$3,429.00	\$3,429.00	\$3,429.00
A027474Q	REDMOND, DAVID ANTHONY	\$6,129.00	\$6,129.00	\$6,129.00
A027554S	WILDER, LAWRENCE DAVID	\$3,429.00	\$3,429.00	\$3,429.00
A028583P	MUCHNIK, PAUL ASHLEY	\$3,429.00	\$3,429.00	\$3,429.00
A029476H	FLEISHER, JONATHAN EMMANUEL	\$3,429.00	\$3,429.00	\$3,429.00
A029609R	MORAND, JAMES GERARD	\$3,429.00	\$3,429.00	\$3,429.00

*** FIRM SUMMARY ***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A029730C	WATTERWORTH, ANN LOUISE	\$3,375.00	\$3,375.00	\$3,375.00
A029839C	DOMINIQUE, BRIAN PATRICK	\$3,321.00	\$3,321.00	\$3,321.00
A030156D	WEINBERG, LAWRENCE MARK	\$3,429.00	\$3,429.00	\$3,429.00
A030670L	GOLDMAN, JASON IRA	\$3,429.00	\$3,429.00	\$3,429.00
A030729S	KUKULOWICZ, ROBERT SHAYNE	\$3,429.00	\$3,429.00	\$3,429.00
A031351O	WUNDER, MICHAEL JOHN	\$3,537.00	\$3,537.00	\$3,537.00
A032187D	COHEN, ROBERT BRIAN	\$3,429.00	\$3,429.00	\$3,429.00
A032266J	GOODMAN, GORDON PETER	\$3,429.00	\$3,429.00	\$3,429.00
A032748J	BERMAN, WENDY RUTH	\$3,429.00	\$3,429.00	\$3,429.00
A033541W	WARD, DAVID STUART	\$3,537.00	\$3,537.00	\$3,537.00
A034336Q	KOUTOULAKIS, TOM	\$3,429.00	\$3,429.00	\$3,429.00
A035009R	MERCIER, RONALD JOSEPH MARCUS	\$3,537.00	\$3,537.00	\$3,537.00
A035302P	REBACK, ANDREW MAXWELL	\$3,429.00	\$3,429.00	\$3,429.00
A036620P	BENNETT, MARK TORRANCE	\$3,429.00	\$3,429.00	\$3,429.00
A036951J	VETTESE, JOHNNY PAUL	\$3,429.00	\$3,429.00	\$3,429.00
A036993A	BECK, JOAN	\$3,429.00	\$3,429.00	\$3,429.00
A037339O	TAYLOR, KRISTIN RACHELL	\$3,429.00	\$3,429.00	\$3,429.00
A038968U	BIRCH, JOHN NICHOLAS	\$3,537.00	\$3,537.00	\$3,537.00
A039215F	NEWMAN, JOHN CHARLES ROSS	\$3,537.00	\$3,537.00	\$3,537.00
A039474W	HAMILTON, ARTHUR LLOYD	\$3,429.00	\$3,429.00	\$3,429.00
A040416E	DELUCA, FRANCIS ALBERT	\$3,429.00	\$3,429.00	\$3,429.00
A040502Q	KAPSALES, TOMMY	\$3,537.00	\$3,537.00	\$3,537.00
A040901D	ILIOPOULOS, ALEXANDRA	\$3,429.00	\$3,429.00	\$3,429.00
A041014R	SALEM, ANDREW EMILE	\$3,537.00	\$3,537.00	\$3,537.00
A041824A	BOIVIN, ANDRE MARCEL	\$3,321.00	\$3,321.00	\$3,321.00
A041858M	JACKSON, LARA MARY BESS	\$3,429.00	\$3,429.00	\$3,429.00
A042178M	ARBUCK, SIDNEY JASON	\$3,429.00	\$3,429.00	\$3,429.00
A042203S	BULLEN, JASON KENNETH SPERO	\$3,321.00	\$3,321.00	\$3,321.00
A042258O	HOGAN, GREGORY JOSEPH	\$3,429.00	\$3,429.00	\$3,429.00
A042811G	CAMPBELL, JENNIFER LYNNE	\$3,429.00	\$3,429.00	\$3,429.00
A042973V	FITZGERALD, ANDREA CATHERINE	\$3,321.00	\$3,321.00	\$3,321.00
A043080N	HERSH, CHRISTOPHER MICHAEL	\$3,429.00	\$3,429.00	\$3,429.00
A043511B	LAPALME, LAURIE CHANTAL	\$3,429.00	\$3,429.00	\$3,429.00
A044483S	ACCURSI, GARY CHAD	\$3,429.00	\$3,429.00	\$3,429.00
A044563U	GROUND, COLIN WILLIAM	\$6,129.00	\$6,129.00	\$6,129.00
A044616F	MANIACI, SEAN ANTHONY SAL	\$3,429.00	\$3,429.00	\$3,429.00

***** FIRM SUMMARY *****

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A045362J	CLEMENTS, LINDSAY ANN	\$3,429.00	\$3,429.00	\$3,429.00
A045423T	HARDY, SHANE DAVID	\$3,429.00	\$3,429.00	\$3,429.00
A045455Q	LEISK, SIGNE BLANKA	\$3,537.00	\$3,537.00	\$3,537.00
A045616Q	CHUMMAR, NOBLE CYRIL	\$3,429.00	\$3,429.00	\$3,429.00
A045862F	LYSY, ROBERT MICHAEL	\$6,129.00	\$6,129.00	\$6,129.00
A046555R	BELLISSIMO, JOSEPH JAMES	\$3,537.00	\$3,537.00	\$3,537.00
A046572R	CHISICK, CASEY MARK	\$3,429.00	\$3,429.00	\$3,429.00
A046766K	LAROSE, EMILY	\$3,429.00	\$3,429.00	\$3,429.00
A046978W	RONDE, DEREK BART CHARLES	\$3,429.00	\$3,429.00	\$3,429.00
A047066W	BELLISSIMO, EVA	\$3,429.00	\$3,429.00	\$3,429.00
A047837C	KNOL, LINDA IRENE	\$3,429.00	\$3,429.00	\$3,429.00
A048080W	JESSOME, LAURIE BRIDGET	\$3,375.00	\$3,375.00	\$3,375.00
A048716Q	HAYMAN, ALISON JEAN	\$3,375.00	\$3,375.00	\$3,375.00
A049302U	DIETRICH, JANE OLIVE	\$3,537.00	\$3,537.00	\$3,537.00
A049313K	ELLIS, LARRY CHRISTOPHER	\$3,429.00	\$3,429.00	\$3,429.00
A049330K	HENEIN, PETER JOSEPH	\$3,429.00	\$3,429.00	\$3,429.00
A049784Q	FRANKEL, THEODORE BLOCH	\$3,429.00	\$3,429.00	\$3,429.00
A049821I	KING, JAY FRANCIS	\$3,429.00	\$3,429.00	\$3,429.00
A049932L	UUKKIVI, RAIVO	\$3,537.00	\$3,537.00	\$3,537.00
A050708C	CHOI, NANCY	\$3,321.00	\$3,321.00	\$3,321.00
A051289L	FREEMAN, JONATHAN	\$3,537.00	\$3,537.00	\$3,537.00
A052626H	NICHOLAS, ALOYSIUS CHANDIMAL	\$3,429.00	\$3,429.00	\$3,429.00
A052723J	WOOLFORD, LUKE STEPHEN	\$3,429.00	\$3,429.00	\$3,429.00
A053817A	PIZALE, JOHN ALEXANDER	\$3,375.00	\$3,375.00	\$3,375.00
A054259Q	FIRSTEN, JAMIE DAVID	\$3,429.00	\$3,429.00	\$3,429.00
A055767C	BLACKBURN, JEDIDIAH MONTEGU	\$3,537.00	\$3,537.00	\$3,537.00
A055957L	ROBINSON, FRANK SAUL	\$3,429.00	\$3,429.00	\$3,429.00
A055958H	ROBINSON, GAVIN TODD ASHLEY	\$3,429.00	\$3,429.00	\$3,429.00
A056576I	KENNEDY, STEVEN NOEL	\$3,429.00	\$3,429.00	\$3,429.00
A057154K	KHULLAR, SHAUNIK	\$3,429.00	\$3,429.00	\$3,429.00
A057316G	ABUDULAI, SUHUYINI HADIA	\$3,321.00	\$3,321.00	\$3,321.00
A057488P	LUNGU, MICHAEL MOSHE	\$3,429.00	\$3,429.00	\$3,429.00
A058345D	LEUNG, ADRIA WAI- LAN	\$3,429.00	\$3,429.00	\$3,429.00
A058577D	GARDOS, DAVID PETER	\$3,429.00	\$3,429.00	\$3,429.00
A058608I	HYLTON, MARLON GERMAINE	\$3,429.00	\$3,429.00	\$3,429.00
A058663P	MAYZEL, ERIC ALAN	\$3,375.00	\$3,375.00	\$3,375.00

*** FIRM SUMMARY ***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A059037T	PILKINGTON, ADRIANNA MONIQUE	\$3,429.00	\$3,429.00	\$3,429.00
A059295R	FLETCHER, JORDAN ROBERT	\$3,537.00	\$3,537.00	\$3,537.00
A059510J	JACOBS, RYAN COREY	\$3,429.00	\$3,429.00	\$3,429.00
A059912H	PENDRITH, COLIN DAVID	\$3,429.00	\$3,429.00	\$3,429.00
A060137J	JIMENO, NATASHA LEI	\$3,537.00	\$3,537.00	\$3,537.00
A060458J	VALO, REBECCA TALIA	\$3,429.00	\$3,429.00	\$3,429.00
A060619J	WASYLYK, JENNIFER ASHLEY	\$3,429.00	\$3,429.00	\$3,429.00
A061177T	SULLIVAN, PETER JOSEPH GREGORY	\$3,429.00	\$3,429.00	\$3,429.00
A061427T	CALDERON, JOSHUA GABRIEL	\$3,537.00	\$3,537.00	\$3,537.00
A061610K	MARTIN, JEREMY MICHAEL	\$3,429.00	\$3,429.00	\$3,429.00
A061869Q	HASAN, AFZAL AYNUL	\$3,429.00	\$3,429.00	\$3,429.00
A061880R	HORKINS, CHRISTOPHER BRINDLEY	\$3,375.00	\$3,375.00	\$3,375.00
A062390D	ST. CYR, MARK ANDRE	\$3,537.00	\$3,537.00	\$3,537.00
A062587H	MCGURRAN, SEAN VINCENT	\$3,321.00	\$3,321.00	\$3,321.00
A062828J	CRADDOCK, ERIN MICHELLE	\$3,429.00	\$3,429.00	\$3,429.00
A063569W	BOWIE, JUDITH ALEXIS BRIGHAM	\$2,791.80	\$2,791.80	\$2,791.80
A063638L	SASSI, MONIQUE	\$2,899.80	\$2,899.80	\$2,899.80
A063748S	LI, MING-LAI TERA	\$1,836.00	\$1,836.00	\$1,836.00
A063778A	MIKELBERG, JEFFREY PHILLIP	\$2,683.80	\$2,683.80	\$2,683.80
A063847M	RUSSELL, CAITLIN ANNE	\$2,791.80	\$2,791.80	\$2,791.80
A064103S	KIM, ANITA	\$2,791.80	\$2,791.80	\$2,791.80
A064160R	MOHAJER-ASHJAI, ARDAVAN	\$2,791.80	\$2,791.80	\$2,791.80
A064239M	SOMANI, ALY-KHAN	\$2,683.80	\$2,683.80	\$2,683.80
A064355G	COHEN, CARLY ELYSE	\$2,791.80	\$2,791.80	\$2,791.80
A064513S	MCELRAVY, JOEL GORDON	\$2,791.80	\$2,791.80	\$2,791.80
A064743D	POTTER, CARLA ELIZABETH	\$2,899.80	\$2,899.80	\$2,899.80
A064908K	LEVINE, NATALIE ELAINE	\$3,537.00	\$3,537.00	\$3,537.00
A064915Q	LITCHEN, JAMIE ALEXANDRA	\$2,791.80	\$2,791.80	\$2,791.80
A065231J	OMOKHODION, PATIENCE NWANNE	\$2,419.20	\$2,419.20	\$2,419.20
A065384D	SIMPSON, KYLE JOSEPH	\$2,473.20	\$2,473.20	\$2,473.20
A065514C	GIBSON, CHRISTIE ELIZABETH	\$2,473.20	\$2,473.20	\$2,473.20
A065534N	HENDERSON, STEPHEN JAMES BURRO	\$2,473.20	\$2,473.20	\$2,473.20
A065606N	LOEWITH, LEONARD JACOB	\$2,365.20	\$2,365.20	\$2,365.20
A065683A	PUTERMAN, JARED DOV	\$2,527.20	\$2,527.20	\$2,527.20
A065702T	SELBY, CHRISTOPHER CARL	\$2,473.20	\$2,473.20	\$2,473.20
A065752M	VOUDOURIS, STEPHANIE STELLA	\$2,473.20	\$2,473.20	\$2,473.20

*** FIRM SUMMARY ***

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Member Number	Member Name	Instalment Amount	Total Premium	Outstanding Amount
A066146E	WOODMAN, BRYAN ROBERT	\$2,419.20	\$2,419.20	\$2,419.20
A067264B	BARZILAI, ZOHAR	\$3,429.00	\$3,429.00	\$3,429.00
A067655J	ALVARO, MICHAEL ANTHONY	\$2,154.60	\$2,154.60	\$2,154.60
A067695I	BYERS, KATHLEEN NOEL	\$2,100.60	\$2,100.60	\$2,100.60
A067704K	CHIU, BELINDA LI-TUEN	\$2,154.60	\$2,154.60	\$2,154.60
A068081J	FISHMAN, ROEY S	\$2,154.60	\$2,154.60	\$2,154.60
A068146D	LAI, KRISTEN SEI-TING	\$1,836.00	\$1,836.00	\$1,836.00
A068163D	LIPTON, JESSICA CARA	\$2,154.60	\$2,154.60	\$2,154.60
A068406U	FENDER, HILARY KAITLYN	\$2,100.60	\$2,100.60	\$2,100.60
A068574W	SHERMAN, JONATHAN DANIEL	\$2,046.60	\$2,046.60	\$2,046.60
A069939U	CHEN, XI	\$1,728.00	\$1,728.00	\$1,728.00
A069982S	GOLD, MATTHEW JESSE	\$1,728.00	\$1,728.00	\$1,728.00
A070041V	LESZCZ, NOAH SAMUEL	\$1,728.00	\$1,728.00	\$1,728.00
A070051P	LYLE, JAMES WILLIAM	\$1,836.00	\$1,836.00	\$1,836.00
A070230L	BLUMBERG, ROBYN ERIN	\$1,836.00	\$1,836.00	\$1,836.00
A070303H	GOODIS, BENJAMIN RYAN	\$1,836.00	\$1,836.00	\$1,836.00
A070336A	KELMAN, DAVID LEE	\$1,836.00	\$1,836.00	\$1,836.00
A070468K	TABI, KWAKU ALBERT KODIE	\$1,944.00	\$1,944.00	\$1,944.00
A070597J	GROSSMAN, LAUREN ELYSE	\$1,836.00	\$1,836.00	\$1,836.00
A070728E	RICHARDS, JACQUELINE HELEN	\$1,836.00	\$1,836.00	\$1,836.00
A070880N	FINN, BRITTANY MORGAN	\$1,836.00	\$1,836.00	\$1,836.00
A070968G	MAHONEY, MICHAEL JOHN	\$1,836.00	\$1,836.00	\$1,836.00
A071003C	MURPHY, ALEXANDRA ELIZABETH FR	\$1,836.00	\$1,836.00	\$1,836.00
A071053S	SEBANC, STEFANIE LYNN	\$1,836.00	\$1,836.00	\$1,836.00

167 members are listed on the summary

Instalment Amount:	\$538,714.80
Total Premium:	\$538,714.80
Outstanding Amount:	\$538,714.80